

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-1

**SUMMARY OF INCOME FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2012

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/13/2012
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

INCOME (and other additions)		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Tuition & Fees *	1	25,726,873	126,855							\$25,853,728
Government Appropriations	Federal	2								\$0
	State	3	114,444,327	12,232,784	575,076					\$127,252,187
	Local	4								\$0
Grants & Contracts	Federal	5	78,849	154,746,221						\$154,825,070
	State	6	3,766,381	4,523,002			11,850			\$8,301,233
	Local	7								\$0
Private Gifts, Grants and Contracts	8	55,373,310	26,255	19,472,582		1,700,025	17,808,502			\$94,380,674
Endowment Income	9									\$0
Sales & Services	Educational Activities	10	31,405,499							\$31,405,499
	Auxiliary Enterprises	11		6,668,199						\$6,668,199
	Hospitals	12	882,128,924							\$882,128,924
Other Sources	Invest & Gains	13	4,017,270	946,099	26,442	499,204	(64,469)	(155,417)	3,756	\$5,272,885
	Miscellaneous	14	8,448,257	2,027,183	370,600		(471,571)			\$10,374,469
	Cafeteria	15	6,377,787							\$6,377,787
TOTAL INCOME & OTHER SOURCES	16	\$1,131,767,477	\$6,821,309	\$193,947,871	\$972,118	\$2,199,229	\$17,284,312	(\$155,417)	\$3,756	\$1,352,840,655

* Amount before any student fee transfers

** Specify

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1 SUPPLEMENTAL
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 1109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2012

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/13/2012
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	104,386,192								\$104,386,192
Educ. Excellence Trust Fund	2	9,003,176								\$9,003,176
Rural Practice	3				525,076					\$525,076
Tobacco Settlement	4			8,849,577						\$8,849,577
General Improvement Fund Operating	6	5,000		415,462						\$420,462
Breast Cancer Research	6			927,319						\$927,319
Komen License Plates	7			189,800						\$189,800
Domestic Peace Fund				720,335						\$720,335
Child Safety Centers				1,130,291						\$1,130,291
Poison & Drug/Disease State Mgt	8	449,959								\$449,959
Board of Collectors	9	600,000								\$600,000
State Board of Pharmacy	10				50,000					\$50,000
TOTAL STATE FUNDING	12	\$114,444,327	\$0	\$12,232,784	\$575,076	\$0	\$0	\$0	\$0	\$127,252,187

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2012

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/13/2012
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	88,332,094		5,963,893			47,485			\$94,343,472
Research	2	31,071,891		58,899,596			70,627			\$90,042,114
Public Service	3	5,179,648		91,502,961						\$96,682,609
Academic Support	4	17,276,482		1,526,992			81,533			\$18,885,007
Student Services	5	3,206,129		188,390						\$3,394,519
Institutional Support	6	61,920,145		3,428,009			1,274,423			\$66,622,577
Operation & Main. Of Plant	7	43,728,138		3,681,251			8,949,692			\$56,359,081
Scholarships & Fellowships	8	151,230		154,942	1,096,583					\$1,402,755
Staff Benefits	9	137,296,147	305,847	13,851,165	6,177		21,410			\$151,480,746
Hospitals & Clinics	10	666,846,118		1,956,411			7,549,752			\$676,352,281
Auxiliary Enterprises	11		4,449,907							\$4,449,907
Debt Service	12	15,255,000	(732,585)						12,957,857	\$27,480,272
Loan Fund Administration	13									\$0
Loan Cancel. & Write-Offs	14									\$0
Exp. For Plant Facilities	15	961,223		326,160			64,185,263	1,629,604		\$67,102,250
Other Deductions	16	4,787,527		1,414			3,729,177			\$8,518,118
Total Expenses & Deductions	17	\$1,076,011,772	\$4,023,169	\$181,481,184	\$1,102,760	\$0	\$85,909,362	\$1,629,604	\$12,957,857	\$1,363,115,708
Transfers *	Auxiliary	18								\$0
	Mandatory	19	20,503,030	2,353,404			(135,021)		(22,721,413)	\$0
	Non Mandatory	20	17,453,204	(56,376)	13,443,013	(200,000)	(1,018,828)	(28,605,748)	(1,015,265)	\$0
TOTAL EXP., DEDUC. & TRAN.	21	\$1,113,968,006	\$6,320,197	\$194,924,197	\$902,760	(\$1,018,828)	\$57,168,593	\$614,339	(\$9,763,556)	\$1,363,115,708
TOTAL INCOME	22	\$1,131,767,477	\$6,821,309	\$193,947,871	\$972,118	\$2,199,229	\$17,284,312	(\$155,417)	\$3,756	\$1,352,840,655
NET INC./(DEC.) FOR YEAR	23	\$17,799,471	\$501,112	(\$976,326)	\$69,358	\$3,218,057	(\$39,884,281)	(\$769,756)	\$9,767,312	(\$10,275,053)

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-3

AUXILIARY ENTERPRISE

CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 1109
INSTITUTION: University of Arkansas for Medical Sciences
FISCAL YEAR: 2012

COMPLETED BY: Cliff Ferren
DATE COMPLETED: 9/13/2012
TELEPHONE NO.: (501) 686-6810

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	1				-
Residence Hall	2	1,751,017	1,694,888		56,129
Married Student Housing	3				-
Faculty Housing	4				-
Food Service	5				-
College Union	6				-
Bookstore	7	985,255	940,570		44,685
Student Organizations And Publications	8	129,473	70,682		58,791
Student Health Services	9				-
Other (Specify On Attached Sheet)	10	3,955,564	1,993,238	1,620,819	341,507
Sub-Total	11	\$6,821,309	\$4,699,378	\$1,620,819	501,112
Transfers In	Auxiliary (Athletic and Activity)	12			0
	Other	13			0
Transfers Out	14				0
GRAND TOTALS	15	\$6,821,309	\$4,699,378	\$1,620,819	\$501,112

* Include athletic fees.

Notes on Series 17-3

University of Arkansas for Medical Sciences

	Income	Operating Expense	Debt Service	Net Income
Other				
Parking	3,650,028	1,395,590	1,620,819	633,619
Rental properties	103,255	14,727	-	88,528
Valet parking	200,860	582,821		(381,961)
Miscellaneous	1,421	100		1,321
Total other	3,955,564	1,993,238	1,620,819	341,507

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 1109
INSTITUTION: University of Arkansas for Medical Sciences
FISCAL YEAR: 2012

COMPLETED BY: Cliff Ferren
DATE COMPLETED: 9/13/2012
TELEPHONE NO.: (501) 686-6810

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
Balance Sheet Summary	Assets	Interfund Borrowing (Due From)	1										
		All Other Assets	2	349,818,460	208,664	51,054,990	15,251,056	39,595,550	(3,596,630)	676,663	363,053,809	451,553,066	460,515
		Total Assets	3	\$349,818,460	\$208,664	\$51,054,990	\$15,251,056	\$39,595,550	(\$3,596,630)	\$676,663	\$363,053,809	\$451,553,066	\$460,515
	Liab.	Interfund Borrowing (Due To)	4										
		All Other Liabilities	5	126,098,457	80,874	36,791,845	1,943,471	0	13,337,989	2,663,787	292,464,966	35,832,230	460,515
		Total Liabilities	6	\$126,098,457	\$80,874	\$36,791,845	\$1,943,471	\$0	\$13,337,989	\$2,663,787	\$292,464,966	\$35,832,230	\$460,515
	Fund Balances	7	\$223,720,003	\$127,790	\$14,263,145	\$13,307,585	\$39,595,550	(\$16,934,619)	(\$1,987,124)	\$70,588,843	\$415,720,836		
Changes in Fund Balance	Revenue And Other Additions	8	1,131,767,477	6,821,309	\$193,947,871	972,118	2,199,229	17,284,312	(155,417)	3,756	171,630		
	Expenditures And Other Deductions	9	1,076,011,772	4,023,169	181,481,184	1,102,760	0	85,909,362	1,629,604	12,957,857	(37,092,062)		
	Total Transfer Out Of (Into) *	10	37,956,234	2,297,028	13,443,013	(200,000)	(1,018,828)	(28,740,769)	(1,015,265)	(22,721,413)	0		
	Net Increase (Decrease) For Year	11	\$17,799,471	\$501,112	(\$976,326)	\$69,358	\$3,218,057	(\$39,884,281)	(\$769,756)	\$9,767,312	\$37,263,692		
	Fund Balance-Beginning Of Year	12	205,920,532	(373,322)	15,239,471	13,238,227	36,377,493	22,949,662	(1,217,368)	60,821,531	378,457,144		
	Fund Balance-End Of Year	13	\$223,720,003	\$127,790	\$14,263,145	\$13,307,585	\$39,595,550	(\$16,934,619)	(\$1,987,124)	\$70,588,843	\$415,720,836		

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE:	<u>1109</u>	COMPLETED BY:	<u>James Greenwalt/Cliff Ferr</u>
INSTITUTION:	<u>University of Arkansas for Medical Sciences</u>	DATE COMPLETED:	<u>9-12-2012</u>
FISCAL YEAR:	<u>2012</u>	TELEPHONE NO.:	<u>501-686-6810</u>

Report All Amounts To The Nearest Dollar

Categories of Indebtedness		Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
Total Outstanding Loan Principal						
Beginning Of Year	1	24,232,103	16,025,000	291,728,368	0	\$331,985,471
Total Loans Negotiated During						
The Fiscal Year	2	9,500,000	8,985,000	5,377,296	0	\$23,862,296
Total Debt Principal Retired						
During The Fiscal Year	3	3,420,624	9,140,000	13,868,919	0	\$26,429,543
Total Outstanding Principal						
At End Of Fiscal Year	4	\$30,311,479	\$15,870,000	\$283,236,745	\$0	\$329,418,224
Interest & Agent Fees Paid On						
Debt During Fiscal Year	5	534,970	488,076	27,683	0	\$1,050,729
Total Debt Service Payments						
During Fiscal Year	6	\$3,955,594	\$9,628,076	\$13,896,602	\$0	\$27,480,272

Line 6 should match debt service payments shown on 17-2.

* Specify

Notes on Series 17-5

University of Arkansas for Medical Sc

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME

FICE CODE 1109
INSTITUTION University of Arkansas for Medical Sciences
FISCAL YEAR 2012

(SEE INSTRUCTIONS)

COMPLETED BY: Cliff Ferren
DATE COMPLETED: 9/13/2012
TELEPHONE NO. (501) 686-6810

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		81,925,993			\$81,925,993		\$81,925,993	
Dept. Oper. Expense	2	12,565,440		660	8,597,913	911,498	19,785,063	41,860,574	
Off-Campus Credit	3					0		0	
Non-Credit Instruction	4					0		0	
Research	5	2,479,851	24,238,442		3,594,284	757,742	6,146,522	37,216,841	
Public Service	6	424,792	4,076,633		519,155	77,634	1,126,517	6,224,731	
Libraries	7	385,712	1,164,644		1,603,921		365,926	3,520,203	
Museums & Galleries	8					0		0	
Organized Activities	9					0		0	
Other Academic Support	10	2,084,757	11,659,011	67	3,489,238	40,640	2,910,724	20,184,437	
Student Services	11				51,852	51,852		51,852	
Institutional Support	12	11,034,135	60,904,543	16,410	5,979,832	1,537,942	24,898,743	104,371,605	
Utilities	13				13,783,356			13,783,356	
Other Plant Maintenance	14	8,401,693	13,993,656		3,961,833	846,622	5,587,663	32,791,467	
Scholarships & Fellowships	15				151,230	151,230		151,230	
Hospital & Clinics	16	194,184,622	153,444,806	256,075	302,841,912	6,727,079	76,474,989	733,929,483	
Other	17					0		0	
Transfers - Auxiliary	18								
Transfers - Mandatory	19							20,503,030	
Transfers -Non Mandatory	20							17,453,204	
TOTAL	21	\$231,561,002	\$351,407,728	\$273,212	\$344,574,526	\$10,899,157	\$938,715,625	\$137,296,147	\$1,113,968,006
Tuition and Fees	22							\$25,726,873	
Other Income	23							\$991,596,277	
Prior Year Balance	24								
General Revenue Appropriated	25							\$114,444,327	
Total Unrestricted E&G Revenue	26								\$1,131,767,477

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE 1109 (SEE INSTRUCTIONS) **COMPLETED BY:** Cliff Ferren
INSTITUTION University of Arkansas for Medical Sciences **DATE COMPLETED:** 9/13/2012
FISCAL YEAR 2012 **TELEPHONE NO.** (501) 686-6810

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		3,321,763			\$3,321,763		\$3,321,763	
Dept. Oper. Expense	2	207,894		2,405,894	55,064	2,668,852	757,399	3,426,251	
Off-Campus Credit	3					0		0	
Non-Credit Instruction	4					0		0	
Research	5	3,485,324	34,130,729	21,423,937	1,552,032	60,592,022	8,614,172	69,206,194	
Public Service	6	2,768,318	8,078,718	63,369,674	15,450,000	89,666,710	2,971,262	92,637,972	
Libraries	7		80,157	94,360	13,873	188,390	21,242	209,632	
Museums & Galleries	8					0		0	
Organized Activities	9					0		0	
Other Academic Support	10	18,040	223,426	1,252,681	32,813	1,526,960	40,319	1,567,279	
Student Services	11					0		0	
Institutional Support	12	228,879	2,417,561	474,151	304,262	3,424,853	598,814	4,023,667	
Utilities	13			121,239		121,239		121,239	
Other Plant Maintenance	14	255,249	246,166	851,627	111,811	3,680,303	642,120	4,322,423	
Scholarships & Fellowships	15			154,942		154,942		154,942	
Hospital & Clinics	16	203,753	817,531	682,106	253,021	1,956,411	205,837	2,162,248	
Other	17			1,414	326,160	327,574		327,574	
Transfers - Auxiliary	18								
Transfers - Mandatory	19								
Transfers -Non Mandatory	20							13,443,013	
TOTAL	21	\$7,167,457	\$51,531,501	\$0	\$90,832,025	\$18,099,036	\$167,630,019	\$13,851,165	\$194,924,197

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE:	<u>1109</u>	COMPLETED BY:	<u>Bruce Anderson</u>
INSTITUTION:	<u>University of Arkansas for Medical Sciences</u>	DATE COMPLETED:	<u>Sept. 12, 2012</u>
FISCAL YEAR:	<u>2012</u>	TELEPHONE NO.:	<u>501-686-6815</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	157,369,082	2,881,472	14,288,432	
Auxiliary Enterprises	2	380,733			
Hospital & Clinics	3	70,147,330	8,918,076		6,640,608
Totals	4	\$227,897,145	\$11,799,548	\$14,288,432	\$6,640,608