

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-1**

**SUMMARY OF INCOME FOR  
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

**FICE CODE**      001109  
**INSTITUTION**    University of Arkansas for Medical Sciences  
**FISCAL YEAR**    2016

**COMPLETED BY:**                      Cliff Ferren  
**DATE COMPLETED:**                  8/26/2016  
**TELEPHONE NO.**                         (501) 686-6810

Report all amounts to the nearest Dollar.

INCOME (and other additions)	UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)	
	Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)		
Tuition & Fees *	1	42,400,506		38,500					\$42,439,006	
Government Appropriations	Federal	2							\$0	
	State	3	106,283,350	30,000	575,076				\$106,888,426	
	Local	4							\$0	
Grants & Contracts	Federal	5	1,364,115	68,085,968					\$69,450,083	
	State	6	18,734,271	671,701	14,017,372	43,651			\$33,466,995	
	Local	7							\$0	
Private Gifts, Grants and Contracts	8	32,310,776		24,761,127	1,000,000	6,177,195			\$64,249,098	
Endowment Income	9								\$0	
Sales & Services	Educational Activities	10	30,665,532		20,752				\$30,686,284	
	Auxiliary Enterprises	11		6,900,246					\$6,900,246	
	Hospitals	12	1,163,131,588		275,537				\$1,163,407,125	
Other Sources	Invest & Gains (Losses)	13	(3,470,304)	(212,482)	46,338	(694,204)	180,574	1,809	(\$4,148,269)	
	Cafeteria	14	7,233,716						\$7,233,716	
	Miscellaneous	15	4,995,949		2,020,103	131,185	400	1,283	\$7,148,920	
<b>TOTAL INCOME &amp; OTHER SOURCES</b>	16	\$1,403,649,499	\$7,571,947	\$109,036,877	\$752,599	\$306,196	\$6,402,703	\$0	\$1,809	\$1,527,721,630

\* Amount before any student fee transfers

\*\* Specify

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION  
ADHE 17-1 SUPPLEMENTAL  
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 001109  
 INSTITUTION University of Arkansas for Medical Sciences  
 FISCAL YEAR 2016

COMPLETED BY: Cliff Ferren  
 DATE COMPLETED: 8/26/2016  
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	95,204,430								\$95,204,430
Educ. Excellence Trust Fund	2	9,672,463								\$9,672,463
Rural Practice	3				525,076					\$525,076
Merit Adjustment Fund	4									\$0
Merit Adjustment Fund Remittance (Negative #)	5									\$0
General Improvement Fund Operating	6	20,000		30,000						\$50,000
Poison & Drug/Disease State Management	7	291,552								\$291,552
AR Board of Collectors	8	600,000								\$600,000
State Pharmacy Board	9				50,000					\$50,000
Other State Funds	10									\$0
ACHII Funding (HUA1504)	11	494,905								\$494,905
<b>TOTAL STATE FUNDING</b>	<b>12</b>	<b>\$106,283,350</b>	<b>\$0</b>	<b>\$30,000</b>	<b>\$575,076</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$106,888,426</b>

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-2**

**SUMMARY OF EXPENDITURES FOR  
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

**FICE CODE**     001109  
**INSTITUTION** University of Arkansas for Medical Sciences  
**FISCAL YEAR**   2016

**COMPLETED BY:**             Cliff Ferren  
**DATE COMPLETED:**         8/29/2016  
**TELEPHONE NO.**               (501) 686-6810

Report all amounts to the nearest Dollar.

		UNRESTRICTED			RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Pension Obligation GASB 68 (AB)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	79,098,481			3,769,499		884				\$82,868,864
Research	2	29,123,032			68,300,217			261,401			\$97,684,650
Public Service	3	8,442,316			15,628,418						\$24,070,734
Academic Support	4	18,176,533			1,710,917						\$19,887,450
Student Services	5	3,532,418			829						\$3,533,247
Institutional Support	6	82,266,145			1,993,366					10,940	\$84,270,451
Operation & Main. Of Plant	7	45,530,348			3,693,241			1,591,931			\$50,815,520
Scholarships & Fellowships	8	(1,615,871)			3,534,411	105,750					\$2,024,290
Staff Benefits	9	166,911,116	298,734	(423,456)	12,415,004	7,610		230,362			\$179,439,370
Hospitals & Clinics	10	892,287,662			653,556			12,202,012			\$905,143,230
Auxiliary Enterprises	11		3,132,995								\$3,132,995
Debt Service	12	849,212						787,192	12,806	9,674,794	\$11,324,004
Loan Fund Administration	13										\$0
Loan Cancel. & Write-Offs	14					932,592					\$932,592
Exp. For Plant Facilities	15	242,068						21,276,853	1,221,595		\$22,740,516
Other Deductions	16	823,864			383,032	312,057		173,357			\$1,692,310
<b>Total Expenses &amp; Deductions</b>	<b>17</b>	<b>\$1,325,667,324</b>	<b>\$3,431,729</b>	<b>(\$423,456)</b>	<b>\$112,082,490</b>	<b>\$1,358,009</b>	<b>\$884</b>	<b>\$36,523,108</b>	<b>\$1,234,401</b>	<b>\$9,685,734</b>	<b>\$1,489,560,223</b>
Transfers *	Auxiliary	18									\$0
	Mandatory	19	16,590,151	2,358,261				(1,044,365)		(17,904,047)	\$0
	Non Mandatory	20	31,631,832	1,697,668		1,916,808	(200,000)	(1,882,833)	(677,256)		\$0
<b>TOTAL EXP., DEDUC. &amp; TRAN.</b>	<b>21</b>	<b>\$1,373,889,307</b>	<b>\$7,487,658</b>	<b>(\$423,456)</b>	<b>\$113,999,298</b>	<b>\$1,158,009</b>	<b>(\$1,881,949)</b>	<b>\$2,992,524</b>	<b>\$557,145</b>	<b>(\$8,218,313)</b>	<b>\$1,489,560,223</b>
<b>TOTAL INCOME</b>	<b>22</b>	<b>\$1,403,649,499</b>	<b>\$7,571,947</b>	<b>\$0</b>	<b>\$109,036,877</b>	<b>\$752,599</b>	<b>\$306,196</b>	<b>\$6,402,703</b>	<b>\$0</b>	<b>\$1,809</b>	<b>\$1,527,721,630</b>
<b>NET INC./(DEC.) FOR YEAR</b>	<b>23</b>	<b>\$29,760,192</b>	<b>\$84,289</b>	<b>\$423,456</b>	<b>(\$4,962,421)</b>	<b>(\$405,410)</b>	<b>\$2,188,145</b>	<b>\$3,410,179</b>	<b>(\$557,145)</b>	<b>\$8,220,122</b>	<b>\$38,161,407</b>

\* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-2 SUPPLEMENTAL**  
**DETAILED PORTION OF SERIES 17-2, LINE 19: MANDATORY TRANSFERS FOR MAINTENANCE**

(SEE INSTRUCTIONS)

FICE CODE: 001109  
 INSTITUTION: University of Arkansas for Medical Sciences  
 FISCAL YEAR: 2016

COMPLETED BY: Cliff Ferren  
 DATE COMPLETED: 8/26/2016  
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

Constructed/Purchased Facility		Date of Completion	Date of Occupancy	Square Footage	Amount per Square Foot	Amount of Transfer for Maintenance
Name of Facility	1					\$0
	2					\$0
Note: All mandatory transfers are for Debt Service.	3					\$0
UAMS does not make Mandatory Transfers for maintenance.	4					\$0
	5					\$0
	6					\$0
	7					\$0
	8					\$0
	9					\$0
	10					\$0
	11					\$0
	12					\$0
	13					\$0
	14					\$0
	15					\$0
<b>TOTAL MANDATORY TRANSFERS FOR MAINTENANCE</b>	16					<b>\$0</b>

\*Buildings approved from October 2008 prior to October 2010 will report funds transferred based upon their plan identified in their bond or loan feasibility request

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-3**

**AUXILIARY ENTERPRISE**

**CASH INCOME AND EXPENDITURES**

(SEE INSTRUCTIONS)

**FICE CODE:** 001109  
**INSTITUTION:** University of Arkansas for Medical Sciences  
**FISCAL YEAR:** 2016

**COMPLETED BY:** Cliff Ferren  
**DATE COMPLETED:** 8/25/2016  
**TELEPHONE NO.** (501) 686-6810

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)	
	Intercollegiate Athletics *	1			-	
	Residence Hall	2	1,126,584	477,892	732,660	(83,968)
	Married Student Housing	3				-
	Faculty Housing	4				-
	Food Service	5				-
	College Union	6				-
	Bookstore	7	476,964	476,964		-
	Student Organizations And Publications	8	45	28,530		(28,485)
	Student Health Services	9	151,953	137,744		14,209
	Other (See below)	10	5,816,401	4,008,267	1,625,601	182,533
	<b>Sub-Total</b>	11	\$7,571,947	\$5,129,397	\$2,358,261	84,289
Transfers In	Auxiliary (Athletic and Activity)	12				0
	Other	13				0
Transfers Out		14				0
	<b>GRAND TOTALS</b>	15	\$7,571,947	\$5,129,397	\$2,358,261	\$84,289

\* Include athletic fees.

**Notes on Series 17-3**

University of Arkansas for Medical Sciences

	Income	Operating Expense	Debt Service	Net Income
Other				
Parking	2,676,187	1,050,586	1,625,601	-
Rental properties	122,085	122,085		-
Valet parking	158,050	809,330		(651,280)
Youth Programs in Pediatrics	395,437	1,126,548		(731,111)
Kids First/Headstart	2,460,410	901,115		1,559,295
Misc.	4,232	(1,397)		5,629
Total other	5,816,401	4,008,267	1,625,601	182,533

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-4**

**BALANCE SHEET SUMMARY AND  
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

**FICE CODE:** 001109  
**INSTITUTION:** University of Arkansas for Medical Sciences  
**FISCAL YEAR:** 2016

**COMPLETED BY:** Cliff Ferren  
**DATE COMPLETED:** 8/31/2016  
**TELEPHONE NO.:** (501) 686-6810

Report all amounts to the nearest Dollar.

Categories		UNRESTRICTED			RESTRICTED		PLANT FUNDS					Agency Funds (J)		
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Pension Obligation GASB 68 (AB)	Educational Current Funds (C)	Loan Fund (D)	Endowment Funds (E)	Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)			
<b>Balance Sheet Summary</b>	Assets and Deferred Outflows	1	427,536,009	707,530		12,885,710	0	0	317,030		147	236,624,786		
	All Other Assets and Deferred Outflows	2	(11,684,955)	(1,295,504)		32,451,243	12,858,097	50,698,688	(91,634,667)	27,805	369,798,235	241,686,258	223,895	
	Total Assets and Deferred Outflows	3	\$415,851,054	(\$587,974)	\$0	\$45,336,953	\$12,858,097	\$50,698,688	(\$91,317,637)	\$27,805	\$369,798,382	\$478,311,044	\$223,895	
	Liabilities and Deferred Inflows	4												
	All Other Liabilities and Deferred Inflows	5	174,264,388	(12,471)	338,647	27,033,104	1,945,983	0	36,682,233	4,598,127	262,607,258	27,333,098	452,703	
	Total Liabilities and Deferred Inflows	6	\$174,264,388	(\$12,471)	\$338,647	\$27,033,104	\$1,945,983	\$0	\$36,682,233	\$4,598,127	\$262,607,258	\$27,333,098	\$452,703	
Fund Balances		7	\$241,586,666	(\$575,503)	(\$338,647)	\$18,303,849	\$10,912,114	\$50,698,688	(\$127,999,870)	(\$4,570,322)	\$107,191,124	\$450,977,946		
<b>Changes in Fund Balance</b>	Revenue And Other Additions	8	1,403,649,499	7,571,947		109,036,877	752,599	306,196	6,402,703	0	1,809	120,530		
	Expenditures And Other Deductions	9	1,325,667,324	3,431,729	(423,456)	112,082,490	1,358,009	884	36,523,108	1,234,401	9,685,734	23,292,121		
	Total Transfer Out Of (Into) *	10	48,221,983	4,055,929		1,916,808	(200,000)	(1,882,833)	(33,530,584)	(677,256)	(17,904,047)	0		
	Net Increase (Decrease) For Year	11	\$29,760,192	\$84,289	\$423,456	(\$4,962,421)	(\$405,410)	\$2,188,145	\$3,410,179	(\$557,145)	\$8,220,122	(\$23,171,591)		
	Fund Balance-Beginning Of Year	12	211,826,474	(659,792)	(762,103)	23,266,270	11,317,524	48,510,543	(131,410,049)	(4,013,177)	98,971,002	474,149,537		
Fund Balance-End Of Year		13	\$241,586,666	(\$575,503)	(\$338,647)	\$18,303,849	\$10,912,114	\$50,698,688	(\$127,999,870)	(\$4,570,322)	\$107,191,124	\$450,977,946		

\* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-5**  
**SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,**  
**ISSUED AND RETIRED**

(SEE INSTRUCTIONS)

**FICE CODE:** 001109  
**INSTITUTION:** University of Arkansas for Medical Sciences  
**FISCAL YEAR:** 2016

**COMPLETED BY:** Bruce Anderson  
**DATE COMPLETED:** 09/08/2016  
**TELEPHONE NO.:** 501-686-6815

Report All Amounts To The Nearest Dollar

Categories of Indebtedness	Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)	
<b>Total Outstanding Loan Principal</b>						
<b>Beginning Of Year</b>	1	21,313,054	12,515,000	294,664,675	0	\$328,492,729
<b>Total Loans Negotiated During</b>						
<b>The Fiscal Year</b>	2	0	0	2,566,044	0	\$2,566,044
<b>Total Debt Principal Retired</b>						
<b>During The Fiscal Year</b>	3	4,619,076	1,155,000	20,334,552	0	\$26,108,628
<b>Total Outstanding Principal</b>						
<b>At End Of Fiscal Year</b>	4	\$16,693,978	\$11,360,000	\$276,896,167	\$0	\$304,950,145
<b>Interest &amp; Agent Fees Paid On</b>						
<b>Debt During Fiscal Year</b>	5	321,400	400,735	10,601,870	0	\$11,324,004
<b>Total Debt Service Payments</b>						
<b>During Fiscal Year</b>	6	\$4,940,476	\$1,555,735	\$30,936,421	\$0	\$37,432,631

Line 6 should match debt service payments shown on 17-2.

Notes on Series 17-5

University of Arkansas for Medical Sciences

Note: Line 5 matches Debt Service (interest expense) on 17-2, which is current GAAP under GASB.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS**  
**ACTUAL/BUDGETED EXPENDITURES AND INCOME**

**FICE CODE** 001109  
**INSTITUTION** University of Arkansas for Medical Sciences  
**FISCAL YEAR** 2016

(SEE INSTRUCTIONS)

**COMPLETED BY:** Cliff Ferren  
**DATE COMPLETED:** 9/1/2016  
**TELEPHONE NO.** (501) 686-6810

Report All Amounts To The Nearest Dollar.

**ACTUAL OR BUDGETED:**

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		77,297,140			\$77,297,140		\$77,297,140	
Dept. Oper. Expense	2	8,828,140		242	11,649,228	322,160	166,911,116	187,710,886	
Off-Campus Credit	3					0		0	
Non-Credit Instruction	4					0		0	
Research	5	2,137,547	24,283,673		1,396,243	1,319,643		29,137,106	
Public Service	6	208,091	5,860,218		2,238,505	39,395		8,346,209	
Libraries	7					0		0	
Museums & Galleries	8					0		0	
Organized Activities	9					0		0	
Other Academic Support	10	1,354,137	12,807,018	25	3,836,179	178,669		18,176,028	
Student Services	11	250,975	1,020,103		2,246,195	15,146		3,532,419	
Institutional Support	12	8,823,111	61,499,532	6,011	7,977,181	4,008,887		82,314,722	
Utilities	13				13,224,354			13,224,354	
Other Plant Maintenance	14	7,855,495	14,161,908		10,749,928	453,665		33,220,996	
Scholarships & Fellowships	15				(1,615,871)			(1,615,871)	
Hospital & Clinics	16	224,191,714	245,859,304	93,796	398,392,871	5,160,122		873,697,807	
Other	17		625,528					625,528	
Transfers - Auxiliary	18								
Transfers - Mandatory	19							16,590,151	
Transfers -Non Mandatory	20							31,631,832	
<b>TOTAL</b>	<b>21</b>	<b>\$253,649,210</b>	<b>\$443,414,424</b>	<b>\$100,074</b>	<b>\$450,094,813</b>	<b>\$11,497,687</b>	<b>\$1,158,756,208</b>	<b>\$166,911,116</b>	<b>\$1,373,889,307</b>
Tuition and Fees	22							\$42,400,506	
Other Income	23							\$1,254,965,643	
Prior Year Balance	24								
General Revenue Appropriated	25							\$106,283,350	
<b>Total Unrestricted E&amp;G Revenue</b>	<b>26</b>							<b>\$1,403,649,499</b>	

Form Revised 8/1/97

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION  
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS  
ACTUAL/BUDGETED EXPENDITURES**

<b>FICE CODE</b>	<u>001109</u>	(SEE INSTRUCTIONS)	<b>COMPLETED BY:</b>	<u>Cliff Ferren</u>
<b>INSTITUTION</b>	<u>University of Arkansas for Medical Sciences</u>		<b>DATE COMPLETED:</b>	<u>9/2/2016</u>
<b>FISCAL YEAR</b>	<u>2016</u>		<b>TELEPHONE NO.</b>	<u>(501) 686-6810</u>

Report All Amounts To The Nearest Dollar.

**ACTUAL OR BUDGETED:**

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		1,928,912			1,928,912		1,928,912	
Dept. Oper. Expense	2	51,629			1,573,653	211,455	1,836,737	12,415,004	
Off-Campus Credit	3					-		-	
Non-Credit Instruction	4					-		-	
Research	5	2,357,689	31,939,751		29,656,913	4,730,958	68,685,311	68,685,311	
Public Service	6	2,061,620	6,683,191		6,713,241	16,800	15,474,852	15,474,852	
Libraries	7					-		-	
Museums & Galleries	8					-		-	
Organized Activities	9					-		-	
Other Academic Support	10	35,114	624,183		1,026,824	24,795	1,710,916	1,710,916	
Student Services	11				829		829	829	
Institutional Support	12	30,271	1,303,052		634,413	25,624	1,993,360	1,993,360	
Utilities	13				155,360		155,360	155,360	
Other Plant Maintenance	14	123,946	2,671,959		679,114	218,222	3,693,241	3,693,241	
Scholarships & Fellowships	15				3,534,411		3,534,411	3,534,411	
Hospital & Clinics	16	104,376	284,947		235,576	28,658	653,557	653,557	
Other	17						0	0	
Transfers - Auxiliary	18								
Transfers - Mandatory	19								
Transfers -Non Mandatory	20							1,916,808	
<b>TOTAL</b>	<b>21</b>	<b>\$4,764,645</b>	<b>\$45,435,995</b>	<b>\$0</b>	<b>\$44,210,334</b>	<b>\$5,256,512</b>	<b>\$99,667,486</b>	<b>\$12,415,004</b>	<b>\$113,999,298</b>

Form Revised 8/1/97

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-8**  
**EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF**  
**OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE**

(SEE INSTRUCTIONS)

<b>FICE CODE:</b>	<u>001109</u>	<b>COMPLETED BY:</b>	<u>Bruce Anderson</u>
<b>INSTITUTION:</b>	<u>University of Arkansas for Medical Sciences</u>	<b>DATE COMPLETED:</b>	<u>09/08/2016</u>
<b>FISCAL YEAR:</b>	<u>2016</u>	<b>TELEPHONE NO.:</b>	<u>501-686-6815</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year  (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets  (D)
			Unrestricted (B)	Restricted (C)	
<b>Educational and General</b>	1	221,562,153	4,523,608	529,825	15,587,411
<b>Auxiliary Enterprises</b>	2	414,920	22,215	-	
<b>Hospital &amp; Clinics</b>	3	81,622,173	9,412,429	72,527	
<b>Totals</b>	4	303,599,247	13,958,252	602,352	15,587,411

Notes on Series 17-8

University of Arkansas for Medical Sciences