

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1
SUMMARY OF INCOME FOR
ALL FUND GROUPS

(SEE INSTRUCTIONS)

FICE CODE 001109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2017

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/15/2017
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

INCOME (and other additions)		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)	
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)		
Tuition & Fees *	1	43,764,087			210,885					\$43,974,972	
Government	Federal	2								\$0	
Appropriations	State	3	109,791,340	500,000	575,076					\$110,866,416	
	Local	4								\$0	
Grants &	Federal	5	1,662,474		68,507,850					\$70,170,324	
Contracts	State	6	10,367,615	117,837	21,117,777		78,754			\$31,681,983	
	Local	7								\$0	
Private Gifts, Grants and Contracts		8	5,632,704		29,581,583	1,213,892	1,220,166			\$37,648,345	
Endowment Income		9								\$0	
Sales &	Educational Activities	10	33,017,536							\$33,017,536	
Services	Auxiliary Enterprises	11		7,165,524						\$7,165,524	
	Hospitals	12	1,235,993,580		460,904					\$1,236,454,484	
Other	Invest & Gains	13	16,367,400		2,438,019	31,156	4,792,401	147,368	6,207	\$23,782,551	
Sources	Cafeteria	14	7,500,466							\$7,500,466	
	Miscellaneous Revenue	15	2,590,808		258,153	313,537	120,315			\$3,282,813	
TOTAL INCOME & OTHER SOURCES		16	\$1,466,688,010	\$7,283,361	\$122,864,286	\$1,130,654	\$6,006,293	\$1,566,603	\$0	\$6,207	\$1,605,545,414

* Amount before any student fee transfers

** Specify

Notes on Series 17-1

University of Arkansas for Medical Sciences

Valet and other non-employee/student parking	7,248
Spine Center Pool	13,911
Campus Operations, reimbursements	8,219
Nonpatient Hospital, including outside pharmacy	3,219,338
Traffic Violations	67,807
Program Income	95,001
Vending machines	151,221
Dental Hygiene fees	84,210
Research Core	5,910
Cash Over/short	233
Trade Discount	30,639
Student Loan Interest	31,282
1/3 Indigent Care Revenues to AR Children's Hospital	(1,862,291)
Capital Gifts	23,000
Operating Gifts	198,780
Claim deducted from General revenue (Gross up exp/revenue)	500,000
Other Misc.	16,290
Total	2,590,808

Loan Funds	313,537
Reimbursements	120,315

Sturgis Awards	71,000
Schmieding Sr Ed	28,795
Cooper Chair	11,141
Scholarship Awards for Surgery Students	16,000
Schmieding	25,426
Neurology MDA	27,000
Brent Birch Repayment	21,629
Sun Professorship	4,017
Other Scholarship Gifts	4,800
Animal Revenues	4,664
Dean's White Coat	6,380
JSA Program revenue	8,476
Other gifts, awards and misc.	29,046
Cash Over/Short	(221)
Total	258,153

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1 SUPPLEMENTAL
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 001109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2017

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/15/2017
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	95,204,430								\$95,204,430
Educ. Excellence Trust Fund	2	10,078,107								\$10,078,107
Rural Practice	3				325,076					\$325,076
Nursing Loan Funds	4				200,000					\$200,000
Merit Adjustment Fund Remittance (Negative #)	5									\$0
	6									\$0
Mixed Drink Tax	7	3,608,844								\$3,608,844
Poison & Drug/Disease State Mgt	8	299,959								\$299,959
AR Board of Collectors	9	600,000								\$600,000
State Pharmacy Board	10				50,000					\$50,000
ACHII Funds (HUA1504)	11			500,000						\$500,000
TOTAL STATE FUNDING	12	\$109,791,340	\$0	\$500,000	\$575,076	\$0	\$0	\$0	\$0	\$110,866,416

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 001109
 INSTITUTION University of Arkansas for Medical Sciences
 FISCAL YEAR 2017

COMPLETED BY: Cliff Ferren
 DATE COMPLETED: 9/15/2017
 TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

		UNRESTRICTED			RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Pension Obligation GASB 68 (AB)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	73,344,317			3,364,377		25	453,621			\$77,162,340
Research	2	31,933,810			69,919,272			125,302			\$101,978,384
Public Service	3	2,356,066			22,279,078						\$24,635,144
Academic Support	4	30,091,954			2,254,247			(48,860)			\$32,297,341
Student Services	5	3,866,824			6,000						\$3,872,824
Institutional Support	6	78,303,212			8,774,358	(12)		259,320		5,001	\$87,341,879
Operation & Main. Of Plant	7	44,322,186			4,862,214			9,374,438			\$58,558,838
Scholarships & Fellowships	8	(2,116,900)			2,996,977						\$880,077
Staff Benefits	9	173,988,189	263,059	867,995	13,697,322	6,203					\$188,822,768
Hospitals & Clinics	10	976,703,259			1,925,628			3,262,270			\$981,891,157
Auxiliary Enterprises	11		870,221								\$870,221
Debt Service	12	646,627			12,479			599,566	134	9,509,831	\$10,768,637
Loan Fund Administration	13										\$0
Loan Cancel. & Write-Offs	14										\$0
Exp. For Plant Facilities	15							29,264,865			\$29,264,865
Other Deductions	16	4,061,352	2,650,200		522,538	1,019,710		26,115			\$8,279,915
Total Expenses & Deductions	17	\$1,417,500,896	\$3,783,480	\$867,995	\$130,614,490	\$1,025,901	\$25	\$43,316,637	\$134	\$9,514,832	\$1,606,624,390
Transfers *	Auxiliary	18									\$0
	Mandatory	19	21,563,014	2,357,611				(6,012,778)		(17,907,847)	\$0
	Non Mandatory	20	43,211,415	2,029,678		(7,217,838)	(200,000)	633,596	(38,456,851)		\$0
TOTAL EXP., DEDUC. & TRAN.	21	\$1,482,275,325	\$8,170,769	\$867,995	\$123,396,652	\$825,901	\$633,621	(\$1,152,992)	\$134	(\$8,393,015)	\$1,606,624,390
TOTAL INCOME	22	\$1,466,688,010	\$7,283,361	\$0	\$122,864,286	\$1,130,654	\$6,006,293	\$1,566,603		\$6,207	\$1,605,545,414
NET INC./(DEC.) FOR YEAR	23	(\$15,587,315)	(\$887,408)	(\$867,995)	(\$532,366)	\$304,753	\$5,372,672	\$2,719,595	(\$134)	\$8,399,222	(\$1,078,976)

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

Notes on Series 17-2

University of Arkansas for Medical Sciences

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-2 SUPPLEMENTAL
DETAILED PORTION OF SERIES 17-2, LINE 19: MANDATORY TRANSFERS FOR MAINTENANCE**

(SEE INSTRUCTIONS)

FICE CODE: 001109
INSTITUTION: University of Arkansas for Medical Sciences
FISCAL YEAR: 2017

COMPLETED BY: Cliff Ferren
DATE COMPLETED: 9/15/2017
TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

Constructed/Purchased Facility		Date of Completion	Date of Occupancy	Square Footage	Amount per Square Foot	Amount of Transfer for Maintenance
Name of Facility	1					\$0
	2					\$0
Note: all mandatory transfers are for Debt Service.	3					\$0
UAMS does not make Mandatory Transfers for maintenance.	4					\$0
	5					\$0
	6					\$0
	7					\$0
	8					\$0
	9					\$0
	10					\$0
	11					\$0
	12					\$0
	13					\$0
	14					\$0
	15					\$0
TOTAL MANDATORY TRANSFERS FOR MAINTENANCE	16					\$0

*Buildings approved from October 2008 prior to October 2010 will report funds transferred based upon their plan identified in their bond or loan feasibility request

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-3
AUXILIARY ENTERPRISE
CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 001109 **COMPLETED BY:** Cliff Ferren
INSTITUTION: University of Arkansas for Medical Sciences **DATE COMPLETED:** 9/15/2017
FISCAL YEAR: 2017 **TELEPHONE NO.:** (501) 686-6810

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	1				0
Residence Hall	2	1,152,291	452,803	732,660	(33,172)
Married Student Housing	3				0
Faculty Housing	4				0
Food Service	5				0
College Union	6				0
Bookstore	7				0
Student Organizations And Publications	8				0
Student Health Services	9	171,397	143,243		28,154
Other (Specify On Attached Sheet)	10	5,959,673	5,217,112	1,624,951	(882,390)
Sub-Total	11	\$7,283,361	\$5,813,158	\$2,357,611	(887,408)
Transfers In	Auxiliary (Athletic and Activity)	12			0
	Other	13			0
Transfers Out	14				0
GRAND TOTALS	15	\$7,283,361	\$5,813,158	\$2,357,611	(\$887,408)

* Include athletic fees.

Notes on Series 17-3

University of Arkansas for Medical Sciences

	Income	Operating Expense	Debt Service
Other			
Parking	4,570,477	1,398,267	1,624,951
Rental properties	138,516	138,517	
Valet parking	194,841	864,672	
Youth Programs in Pediatrics	329,124	1,243,664	
Hearing Aids-College of Health Profess	752,469	50,422	
Transfer profit from parking	-	1,547,259	
Misc.	(25,754)	(25,689)	
Total other	5,959,673	5,217,112	1,624,951

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 001109
INSTITUTION: University of Arkansas for Medical Sciences
FISCAL YEAR: 2017

COMPLETED BY: Cliff Ferren
DATE COMPLETED: 9/15/2017
TELEPHONE NO. (501) 686-6810

Report all amounts to the nearest Dollar.

Categories		UNRESTRICTED			RESTRICTED		PLANT FUNDS					Agency Funds (J)			
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Pension Obligation GASB 68 (AB)	Educational Current Funds (C)	Loan Fund (D)	Endowment Funds (E)	Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)				
Balance Sheet Summary	Assets and Deferred Outflows	Interfund Borrowing (Due From)	1	405,173,294			12,960,611			317,031			236,624,786		
		All Other Assets and Deferred Outflows	2	14,105,223	3,402,732		33,674,641	13,192,816	53,615,281	(103,822,911)	2,017,673	369,884,277	216,585,997	173,002	
		Total Assets and Deferred Outflows	3	\$419,278,517	\$3,402,732	\$0	\$46,635,252	\$13,192,816	\$53,615,281	(\$103,505,880)	\$2,017,673	\$369,884,277	\$453,210,783	\$173,002	
	Liabilities and Deferred Inflows	Interfund Borrowing (Due To)	4		4,851,964										
		All Other Liabilities and Deferred Inflows	5	188,907,710	13,679	1,206,642	26,340,270	1,968,471		21,774,395	6,588,128	254,293,931	22,191,043	401,810	
		Total Liabilities and Deferred Inflows	6	\$188,907,710	\$4,865,643	\$1,206,642	\$26,340,270	\$1,968,471	\$0	\$21,774,395	\$6,588,128	\$254,293,931	\$22,191,043	\$401,810	
Fund Balances		7	\$230,370,807	(\$1,462,911)	(\$1,206,642)	\$20,294,982	\$11,224,345	\$53,615,281	(\$125,280,275)	(\$4,570,455)	\$115,590,346	\$431,019,740			
Changes in Fund Balance	Revenue And Other Additions		8	1,466,688,010	7,283,361		122,939,186	1,130,654	6,006,293	1,566,603	0	6,207	0		
	Expenditures And Other Deductions		9	1,417,500,896	3,783,480	867,995	130,614,490	1,025,901	25	43,316,637	134	9,514,832	19,958,206		
	Total Transfer Out Of (Into) *		10	64,774,429	4,387,289		(7,217,838)	(200,000)	633,596	(44,469,629)		(17,907,847)			
	Net Increase (Decrease) For Year		11	(\$15,587,315)	(\$887,408)	(\$867,995)	(\$457,466)	\$304,753	\$5,372,672	\$2,719,595	(\$134)	\$8,399,222	(\$19,958,206)		
	Fund Balance-Beginning Of Year		12	245,958,122	(575,503)	(338,647)	20,752,448	10,919,592	48,242,609	(127,999,870)	(4,570,321)	107,191,124	450,977,946		
	Fund Balance-End Of Year		13	\$230,370,807	(\$1,462,911)	(\$1,206,642)	\$20,294,982	\$11,224,345	\$53,615,281	(\$125,280,275)	(\$4,570,455)	\$115,590,346	\$431,019,740		

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE: 001109
INSTITUTION: University of Arkansas for Medical Sciences
FISCAL YEAR: 2017

COMPLETED BY: Bruce Anderson
DATE COMPLETED: 09/15/2017
TELEPHONE NO. 501-686-8615
cell 501-712-4455

Report All Amounts To The Nearest Dollar

Categories of Indebtedness	Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)	
Total Outstanding Loan Principal						
Beginning Of Year	1	24,806,412	11,360,000	268,783,733	0	\$304,950,145
Total Loans Negotiated During						
The Fiscal Year	2	3,848,031	0	2,986,277	0	\$6,834,308
Total Debt Principal Retired						
During The Fiscal Year	3	10,229,620	1,195,000	19,569,262	0	\$30,993,882
Total Outstanding Principal						
At End Of Fiscal Year	4	\$18,424,822	\$10,165,000	\$252,200,748	\$0	\$280,790,571
Interest & Agent Fees Paid On						
Debt During Fiscal Year	5	544,464	414,656	11,154,404	0	\$12,113,524
Total Debt Service Payments						
During Fiscal Year	6	\$10,774,083	\$1,609,656	\$30,723,667	\$0	\$43,107,407

Line 6 should match debt service payments shown on 17-2.

* Specify

Notes on Series 17-5

University of Arkansas for Medical Sciences

Debt Service Payments per 17_2 represent interest expense, which is current GAAP under GASB.

Line 6 does not match debt service payments shown on 17-2, because 17-2 includes only expense items and principal reduction is not an expense item. Also 17_2 expense would include the amortization of premium, discount, deff loss, and accrued interest.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME**

FICE CODE 001109 (SEE INSTRUCTIONS) **COMPLETED BY:** Cliff Ferren
INSTITUTION University of Arkansas for Medical Sciences **DATE COMPLETED:** 9/15/2017
FISCAL YEAR 2017 **TELEPHONE NO.** (501) 686-6810

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		74,962,701			\$74,962,701		\$74,962,701	
Dept. Oper. Expense	2	6,854,008		457	(9,259,300)	613,687	(1,791,148)	173,988,189	
Off-Campus Credit	3					0		0	
Non-Credit Instruction	4					0		0	
Research	5	2,024,723	25,683,180		3,589,744	628,938	31,926,585	31,926,585	
Public Service	6	(31,431)	4,813,511		(2,441,234)		2,340,846	2,340,846	
Libraries	7					0		0	
Museums & Galleries	8					0		0	
Organized Activities	9					0		0	
Other Academic Support	10	1,158,632	20,115,378	47	4,973,242	3,831,012	30,078,311	30,078,311	
Student Services	11	251,381	1,084,181		2,531,263		3,866,825	3,866,825	
Institutional Support	12	7,498,408	61,640,839	11,372	6,102,674	2,838,763	78,092,056	78,092,056	
Utilities	13				12,354,241	0	12,354,241	12,354,241	
Other Plant Maintenance	14	8,385,875	17,542,328		6,331,196	658,776	32,918,175	32,918,175	
Scholarships & Fellowships	15				(2,116,900)		(2,116,900)	(2,116,900)	
Hospital & Clinics	16	231,186,105	278,702,364	177,462	464,969,935	5,845,149	980,881,015	980,881,015	
Other	17					0		0	
Transfers - Auxiliary	18								
Transfers - Mandatory	19							21,563,014	
Transfers -Non Mandatory	20							43,211,415	
TOTAL	21	\$257,327,701	\$484,544,482	\$189,338	\$487,034,861	\$14,416,325	\$1,243,512,707	\$173,988,189	\$1,482,275,325
Tuition and Fees	22							43,764,087	
Other Income	23							1,313,132,583	
Prior Year Balance	24								
General Revenue Appropriated	25							109,791,340	
Total Unrestricted E&G Revenue	26								\$1,466,688,010

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE	001109	(SEE INSTRUCTIONS)	COMPLETED BY:	Cliff Ferren
INSTITUTION	University of Arkansas for Medical Sciences		DATE COMPLETED:	9/15/2017
FISCAL YEAR	2017		TELEPHONE NO.	(501) 686-6810

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		1,570,513			\$1,570,513		\$1,570,513	
Dept. Oper. Expense	2	116,674		1,490,642	186,548	1,793,864	13,697,322	15,491,186	
Off-Campus Credit	3					0		0	
Non-Credit Instruction	4					0		0	
Research	5	1,776,917	31,239,126	35,056,753	2,454,334	70,527,130		70,527,130	
Public Service	6	2,215,831	10,002,250	9,810,602	8,171	22,036,854		22,036,854	
Libraries	7					0		0	
Museums & Galleries	8					0		0	
Organized Activities	9					0		0	
Other Academic Support	10	45,538	967,293	1,241,417		2,254,248		2,254,248	
Student Services	11			6,000		6,000		6,000	
Institutional Support	12	232,020	2,639,681	5,601,802	225,927	8,699,430		8,699,430	
Utilities	13			242,701		242,701		242,701	
Other Plant Maintenance	14	111,992	3,488,496	1,209,244	54,357	4,864,089		4,864,089	
Scholarships & Fellowships	15			2,996,977		2,996,977		2,996,977	
Hospital & Clinics	16	433,982	964,700	520,099	6,581	1,925,362		1,925,362	
Other	17					0		0	
Transfers - Auxiliary	18								
Transfers - Mandatory	19								
Transfers -Non Mandatory	20							(7,217,838)	
TOTAL	21	\$4,932,954	\$50,872,059	\$0	\$58,176,237	\$2,935,918	\$116,917,168	\$13,697,322	\$123,396,652

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE:	<u>001109</u>	COMPLETED BY:	<u>Bruce Anderson</u>
INSTITUTION:	<u>University of Arkansas for Medical Sciences</u>	DATE COMPLETED:	<u>09/15/2017</u>
FISCAL YEAR:	<u>2017</u>	TELEPHONE NO.:	<u>501-686-8615</u> cell 501-712-4455

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	221,562,153	5,103,603	1,308,104	12,959,676
Auxiliary Enterprises	2	414,920			
Hospital & Clinics	3	81,622,173	8,641,758	710,366	
Totals	4	\$303,599,247	\$13,745,361	\$2,018,470	\$12,959,676