

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-1**

**SUMMARY OF INCOME FOR  
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

**FICE CODE**      00111000  
**INSTITUTION**    UNIVERSITY OF ARKANSAS - FORT SMITH  
**FISCAL YEAR**    2011-2012

**COMPLETED BY:**                      Pam Phillips  
**DATE COMPLETED:**                      09/07/2012  
**TELEPHONE NO.**                              479-788-7058

Report all amounts to the nearest Dollar.

INCOME (and other additions)	UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)	
	Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)		
<b>Tuition &amp; Fees *</b>	1	30,392,284	5,155,807	11,240					\$35,559,331	
<b>Government</b>									\$0	
<b>Appropriations</b>										
<b>Federal</b>	2									
<b>State</b>	3	23,409,945		116,981		42,857			\$23,569,783	
<b>Local</b>	4	5,658,795				1,167			\$5,659,962	
<b>Grants &amp;</b>										
<b>Federal</b>	5	122,700		18,562,164		3,118,024			\$21,802,888	
<b>Contracts</b>										
<b>State</b>	6	41,893		10,902,456					\$10,944,349	
<b>Local</b>	7			24,112					\$24,112	
<b>Private Gifts, Grants and Contracts</b>	8	37,383	106,283	1,746,585					\$1,890,251	
<b>Endowment Income</b>	9	2,405		3,835					\$6,240	
<b>Sales &amp;</b>										
<b>Educational Activities</b>	10	291,218		24,798		8,914			\$324,930	
<b>Services</b>										
<b>Auxiliary Enterprises</b>	11		5,818,508	13,150					\$5,831,658	
<b>Hospitals</b>	12								\$0	
<b>Other</b>										
<b>Invest &amp; Gains</b>	13	6,979	1,480	592	28	5,269	1,715	996	196	\$17,255
<b>Sources</b>										
<b>Other **</b>	14					5,390,307				\$5,390,307
<b>Other **</b>	15	378,202		5,998	20	2,918				\$387,138
<b>TOTAL INCOME &amp; OTHER SOURCES</b>	16	\$60,341,804	\$11,082,078	\$31,411,911	\$48	\$5,269	\$8,565,902	\$996	\$196	\$111,408,204

\* Amount before any student fee transfers

\*\* Specify

Notes on Series 17-1

UNIVERSITY OF ARKANSAS - FORT SMITH

Column A, Line 15

Rebates and Forfeitures	136,213
Lates Fees and Charges	159,668
Miscellaneous Fees	6,386
Other	75,935
	<u>378,202</u>
\$	\$ 378,202

Column F, Line 14

Capital Lease Proceeds	650,000
Loan Proceeds	115,307
Bond Issue Debt	4,625,000
	<u>5,390,307</u>
\$	\$ 5,390,307

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION  
ADHE 17-1 SUPPLEMENTAL  
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

**FICE CODE**      00111000  
**INSTITUTION**    UNIVERSITY OF ARKANSAS - FORT SMITH  
**FISCAL YEAR**    2011-2012

**COMPLETED BY:**      Kenda Jones  
**DATE COMPLETED:**    09/04/2012  
**TELEPHONE NO.**        479-788-7077

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	20,339,616								\$20,339,616
Educ. Excellence Trust Fund	2	2,943,804								\$2,943,804
Workforce 2000	3									\$0
Merit Adjustment Fund	4									\$0
Merit Adjustment Fund Remittance (Negative #)	5									\$0
ADTEC University Center Initiatives (GIF)	6			116,981						\$116,981
General Allotment Reserve Fund	7									\$0
Tuition Adjustment	8	126,525								\$126,525
Boreham Library Construction Project (GIF)	9						42,857			\$42,857
<Other>	10									\$0
<Other>	11									\$0
<b>TOTAL STATE FUNDING</b>	<b>12</b>	<b>\$23,409,945</b>	<b>\$0</b>	<b>\$116,981</b>	<b>\$0</b>	<b>\$0</b>	<b>\$42,857</b>	<b>\$0</b>	<b>\$0</b>	<b>\$23,569,783</b>

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-2**

**SUMMARY OF EXPENDITURES FOR  
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

**FIGE CODE** 00111000  
**INSTITUTION** UNIVERSITY OF ARKANSAS - FORT SMITH  
**FISCAL YEAR** 2011-2012

**COMPLETED BY:** Julie Bryant  
**DATE COMPLETED:** 09/04/2012  
**TELEPHONE NO.** 479-788-7055

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	18551825		1604197						\$20,156,022
Research	2									\$0
Public Service	3	516525		565556						\$1,082,081
Academic Support	4	6589405		984104						\$7,573,509
Student Services	5	3402962		736929						\$4,139,891
Institutional Support	6	7264118		59083		86624		779		\$7,410,604
Operation & Main. Of Plant	7	5832053		83743		3029	8614			\$5,927,439
Scholarships & Fellowships	8	3901532	1110859	26859662						\$31,872,053
Staff Benefits	9	8956948	507898	560583						\$10,025,429
Hospitals & Clinics	10									\$0
Auxiliary Enterprises	11		5661241	83198						\$5,744,439
Debt Service	12					7254		7585876		\$7,593,130
Loan Fund Administration	13									\$0
Loan Cancel. & Write-Offs	14									\$0
Exp. For Plant Facilities	15					13265102	251480			\$13,516,582
Other Deductions	16				1					\$1
<b>Total Expenses &amp; Deductions</b>	<b>17</b>	<b>\$55,015,368</b>	<b>\$7,279,998</b>	<b>\$31,537,055</b>	<b>\$1</b>	<b>\$0</b>	<b>\$13,362,009</b>	<b>\$260,094</b>	<b>\$7,586,655</b>	<b>\$115,041,180</b>
Transfers *	Auxiliary	18								\$0
	Mandatory	19	4489241	2947945			-706720		-6730466	\$0
	Non Mandatory	20	1743751	206421	663		-1950835			\$0
<b>TOTAL EXP., DEDUC. &amp; TRAN.</b>	<b>21</b>	<b>\$61,248,360</b>	<b>\$10,434,364</b>	<b>\$31,537,718</b>	<b>\$1</b>	<b>\$0</b>	<b>\$10,704,454</b>	<b>\$260,094</b>	<b>\$856,189</b>	<b>\$115,041,180</b>
<b>TOTAL INCOME</b>	<b>22</b>	<b>\$60,341,804</b>	<b>\$11,082,078</b>	<b>\$31,411,911</b>	<b>\$48</b>	<b>\$5,269</b>	<b>\$8,565,902</b>	<b>\$996</b>	<b>\$196</b>	<b>\$111,408,204</b>
<b>NET INC./(DEC.) FOR YEAR</b>	<b>23</b>	<b>(\$906,556)</b>	<b>\$647,714</b>	<b>(\$125,807)</b>	<b>\$47</b>	<b>\$5,269</b>	<b>(\$2,138,552)</b>	<b>(\$259,098)</b>	<b>(\$855,993)</b>	<b>(\$3,632,976)</b>

\* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

**Notes on Series 17-2**

UNIVERSITY OF ARKANSAS - FORT SMITH

Column D, Line 16

Refund to Grantors of \$1.00

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION  
ADHE 17-3  
AUXILIARY ENTERPRISE  
CASH INCOME AND EXPENDITURES**

(SEE INSTRUCTIONS)

**FICE CODE:** 00111000  
**INSTITUTION:** UNIVERSITY OF ARKANSAS - FORT SMITH  
**FISCAL YEAR:** 2011-2012

**COMPLETED BY:** Julie Bryant  
**DATE COMPLETED:** 09/04/2012  
**TELEPHONE NO.** 479-788-7055

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	1	2,826,112	3,124,143		(298,031)
Residence Hall	2	3,993,363	1,659,216	2,947,945	(613,798)
Married Student Housing	3				-
Faculty Housing	4				-
Food Service	5	1,022,953	1,004,680		18,273
College Union	6		43,349		(43,349)
Bookstore	7	501,273			501,273
Student Organizations And Publications	8	2,485,462	906,753		1,578,709
Student Health Services	9				-
Other (Specify On Attached Sheet)	10	252,915	541,857		(288,942)
<b>Sub-Total</b>	<b>11</b>	<b>\$11,082,078</b>	<b>\$7,279,998</b>	<b>\$2,947,945</b>	<b>854,135</b>
Transfers In	Auxiliary (Athletic and Activity)	12			0
	Other	13			0
Transfers Out	14		206,421		(206,421)
<b>GRAND TOTALS</b>	<b>15</b>	<b>\$11,082,078</b>	<b>\$7,486,419</b>	<b>\$2,947,945</b>	<b>\$647,714</b>

\* Include athletic fees.

**Notes on Series 17-3**

UNIVERSITY OF ARKANSAS - FORT SMITH

Column A, line 10

ATM Fees	13,797.00
Special Ticket Sales	2,343.00
Recovery of Bad Debt	276.00
Interest Earned	1,480.00
Season of Entertainment	235,019.00
	<u>252,915.00</u>
	\$ 252,915.00

Column B, line 10

Bad Debt Expense	50,216.00
Season of Entertainment	491,641.00
	<u>541,857.00</u>
	\$ 541,857.00

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-4**

**BALANCE SHEET SUMMARY AND  
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

**FICE CODE:** 00111000  
**INSTITUTION:** UNIVERSITY OF ARKANSAS - FORT SMITH  
**FISCAL YEAR:** 2011-2012

**COMPLETED BY:** Julie Bryant  
**DATE COMPLETED:** 09/06/2012  
**TELEPHONE NO.** 479-788-7055

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
<b>Balance Sheet Summary</b>	Assets	Interfund Borrowing (Due From)	1	55		167							
		All Other Assets	2	5,492,620	3,428,355	2,192,967	79,781	9,716,195	7,871,717	2,679,840	1,138,717	137,289,564	1,521,856
		<b>Total Assets</b>	<b>3</b>	<b>\$5,492,675</b>	<b>\$3,428,355</b>	<b>\$2,193,134</b>	<b>\$79,781</b>	<b>\$9,716,195</b>	<b>\$7,871,717</b>	<b>\$2,679,840</b>	<b>\$1,138,717</b>	<b>\$137,289,564</b>	<b>\$1,521,856</b>
	Liab.	Interfund Borrowing (Due To)	4					222					
		All Other Liabilities	5	2,913,629	173,849	386,508	3,063		5,194,005	0	304,195	79,257,705	1,521,856
		<b>Total Liabilities</b>	<b>6</b>	<b>\$2,913,629</b>	<b>\$173,849</b>	<b>\$386,508</b>	<b>\$3,063</b>	<b>\$222</b>	<b>\$5,194,005</b>	<b>\$0</b>	<b>\$304,195</b>	<b>\$79,257,705</b>	<b>\$1,521,856</b>
	<b>Fund Balances</b>		<b>7</b>	<b>\$2,579,046</b>	<b>\$3,254,506</b>	<b>\$1,806,626</b>	<b>\$76,718</b>	<b>\$9,715,973</b>	<b>\$2,677,712</b>	<b>\$2,679,840</b>	<b>\$834,522</b>	<b>\$58,031,859</b>	
<b>Changes in Fund Balance</b>	Revenue And Other Additions		8	60,341,804	11,082,078	31,411,911	48	5,269	8,565,902	996	196	35,251,612	
	Expenditures And Other Deductions		9	55,015,368	7,279,998	31,537,055	1	0	13,362,009	260,094	7,586,655	30,258,680	
	Total Transfer Out Of (Into) *		10	6,232,992	3,154,366	663	0		(2,657,555)	0	(6,730,466)	0	
	Net Increase (Decrease) For Year		11	(\$906,556)	\$647,714	(\$125,807)	\$47	\$5,269	(\$2,138,552)	(\$259,098)	(\$855,993)	\$4,992,932	
	Fund Balance-Beginning Of Year		12	3,485,602	2,606,792	1,932,433	76,671	9,710,704	4,816,264	2,938,938	1,690,515	53,038,927	
	<b>Fund Balance-End Of Year</b>		<b>13</b>	<b>\$2,579,046</b>	<b>\$3,254,506</b>	<b>\$1,806,626</b>	<b>\$76,718</b>	<b>\$9,715,973</b>	<b>\$2,677,712</b>	<b>\$2,679,840</b>	<b>\$834,522</b>	<b>\$58,031,859</b>	

\* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-5**  
**SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,**  
**ISSUED AND RETIRED**

(SEE INSTRUCTIONS)

**FICE CODE:** 00111000  
**INSTITUTION:** UNIVERSITY OF ARKANSAS - FORT SMITH  
**FISCAL YEAR:** 2011-2012

**COMPLETED BY:** Robert Wilson  
**DATE COMPLETED:** 09/11/2012  
**TELEPHONE NO.:** 479-788-7053

Report All Amounts To The Nearest Dollar

Categories of Indebtedness	Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
<b>Total Outstanding Loan Principal</b>					
<b>Beginning Of Year</b>	1 44,469,362	42,105,000			\$86,574,362
<b>Total Loans Negotiated During</b>					
<b>The Fiscal Year</b>	2 199,913	144,060		650,000	\$993,973
<b>Total Debt Principal Retired</b>					
<b>During The Fiscal Year</b>	3 2,840,250	1,244,141		2,602	\$4,086,993
<b>Total Outstanding Principal</b>					
<b>At End Of Fiscal Year</b>	4 \$41,829,025	\$41,004,919	\$0	\$647,398	\$83,481,342
<b>Interest &amp; Agent Fees Paid On</b>					
<b>Debt During Fiscal Year</b>	5 1,655,502	1,845,982		4,653	\$3,506,137
<b>Total Debt Service Payments</b>					
<b>During Fiscal Year</b>	6 \$4,495,752	\$3,090,123	\$0	\$7,255	\$7,593,130

Line 6 should match debt service payments shown on 17-2.

\* Specify

Notes on Series 17-5

UNIVERSITY OF ARKANSAS - FORT SMITH

Column D

Capital Lease paid from Plant Funds.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS**  
**ACTUAL/BUDGETED EXPENDITURES AND INCOME**

FIGE CODE 00111000  
 INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH  
 FISCAL YEAR 2011-2012

(SEE INSTRUCTIONS)

COMPLETED BY: Julie Bryant  
 DATE COMPLETED: 09/05/2012  
 TELEPHONE NO. 479-788-7055

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		15,058,106			\$15,058,106		\$15,058,106	
Dept. Oper. Expense	2	214,366	569,714	130,221	1,980,752	2,895,053	3956578	6,851,631	
Off-Campus Credit	3		57,242		1,135	58,377	13452	71,829	
Non-Credit Instruction	4	2,052	332,897	56,553	148,788	540,290	59989	600,279	
Research	5					0		0	
Public Service	6	7,805	214,528	42,423	251,769	516,525	63813	580,338	
Libraries	7	157,611	337,605	40,081	405,319	940,616	168638	1,109,254	
Museums & Galleries	8		45,530		44,090	89,620	14667	104,287	
Organized Activities	9	202		5,359	27,469	33,030	459	33,489	
Other Academic Support	10	879,859	3,748,625	140,353	757,302	5,526,139	1391889	6,918,028	
Student Services	11	374,768	1,949,584	170,186	908,423	3,402,961	766963	4,169,924	
Institutional Support	12	1,533,382	3,541,353	117,782	2,071,601	7,264,118	1719478	8,983,596	
Utilities	13					0		0	
Other Plant Maintenance	14	1,547,944	593,137	71,961	3,619,012	5,832,054	801022	6,633,076	
Scholarships & Fellowships	15				3,901,532	3,901,532		3,901,532	
Hospital & Clinics	16					0		0	
Other	17					0		0	
Transfers - Auxiliary	18								
Transfers - Mandatory	19							4,489,240	
Transfers -Non Mandatory	20							1,743,751	
<b>TOTAL</b>	<b>21</b>	<b>\$4,717,989</b>	<b>\$26,448,321</b>	<b>\$774,919</b>	<b>\$14,117,192</b>	<b>\$0</b>	<b>\$46,058,421</b>	<b>\$8,956,948</b>	<b>\$61,248,360</b>
Tuition and Fees	22							\$30,392,284	
Other Income	23							\$6,539,575	
Prior Year Balance	24								
General Revenue Appropriated	25							\$23,409,945	
<b>Total Unrestricted E&amp;G Revenue</b>	<b>26</b>							<b>\$60,341,804</b>	

Form Revised 8/1/97

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS**  
**ACTUAL/BUDGETED EXPENDITURES**

FICE CODE	<u>00111000</u>	(SEE INSTRUCTIONS)	COMPLETED BY:	<u>Julie Bryant</u>
INSTITUTION	<u>UNIVERSITY OF ARKANSAS - FORT SMITH</u>		DATE COMPLETED:	<u>09/05/2012</u>
FISCAL YEAR	<u>2011-2012</u>		TELEPHONE NO.	<u>479-788-7055</u>

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		644513			\$644,513		\$644,513	
Dept. Oper. Expense	2			22792	546340	89719	164168	823,019	
Off-Campus Credit	3		126311		6451	12058	32782	177,602	
Non-Credit Instruction	4	14141	79171		62700		25596	181,608	
Research	5					0		0	
Public Service	6	30309	228856	7108	299284		78259	643,816	
Libraries	7			60708	1148	16082		77,938	
Museums & Galleries	8				7881			7,881	
Organized Activities	9			17608	54112		1804	73,524	
Other Academic Support	10	31495	354632	60957	357656	21824	102943	929,507	
Student Services	11	457	361065	112447	262961		126743	863,673	
Institutional Support	12			26550	32533		22019	81,102	
Utilities	13					0		0	
Other Plant Maintenance	14	445	17921		65377		6269	90,012	
Scholarships & Fellowships	15				26859662			26,859,662	
Hospital & Clinics	16					0		0	
Other (Restricted Auxiliary)	17				83198			83,198	
Transfers - Auxiliary	18								
Transfers - Mandatory	19								
Transfers -Non Mandatory	20							663	
<b>TOTAL</b>	<b>21</b>	<b>\$76,847</b>	<b>\$1,812,469</b>	<b>\$308,170</b>	<b>\$28,639,303</b>	<b>\$139,683</b>	<b>\$30,976,472</b>	<b>\$560,583</b>	<b>\$31,537,718</b>

Form Revised 8/1/97



**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-8**  
**EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF**  
**OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE**

(SEE INSTRUCTIONS)

<b>FICE CODE:</b>	<u>00111000</u>	<b>COMPLETED BY:</b>	<u>Cheryl Quinley</u>
<b>INSTITUTION:</b>	<u>UNIVERSITY OF ARKANSAS - FORT SMITH</u>	<b>DATE COMPLETED:</b>	<u>08/24/2012</u>
<b>FISCAL YEAR:</b>	<u>2011-2012</u>	<b>TELEPHONE NO.:</b>	<u>479-788-7057</u>

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year  (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets  (D)
			Unrestricted (B)	Restricted (C)	
<b>Educational and General</b>	1	11693895	626045	123601	12713407
<b>Auxiliary Enterprises</b>	2	713587	32867		35102
<b>Hospital &amp; Clinics</b>	3				
<b>Totals</b>	4	\$12,407,482	\$658,912	\$123,601	\$12,748,509

Notes on Series 17-8

UNIVERSITY OF ARKANSAS - FORT SMITH