

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-1  
SUMMARY OF INCOME FOR  
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1110  
INSTITUTION UA Fort Smith  
FISCAL YEAR 2011

COMPLETED BY: Debbie Breedlove  
DATE COMPLETED: 8/29/11  
TELEPHONE NO. 479-788-7052

Report all amounts to the nearest Dollar.

INCOME (and other additions)		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Tuition & Fees *	1	28,927,962	5,111,561	19,197						\$34,058,720
Government	Federal 2									\$0
Appropriations	State 3	23,180,319					236,500			\$23,416,819
	Local 4	5,396,507					7,166			\$5,403,673
Grants &	Federal 5	639,658		19,937,651			413,399			\$20,990,708
Contracts	State 6	14,115		10,436,018			13,750			\$10,463,883
	Local 7			23,339						\$23,339
Private Gifts, Grants and Contracts	8	32,524	141,805	1,709,301						\$1,883,630
Endowment Income	9	6,844		5,655						\$12,499
Sales &	Educational Activities 10	266,485		21,644			66,641			\$354,770
Services	Auxiliary Enterprises 11		5,052,555	3,619						\$5,056,174
	Hospitals 12									\$0
Other	Invest & Gains 13	6,594	6,230	1,643	81	13,798	4,470	3,000	651	\$36,467
Sources	Other ** 14						3,064,000			\$3,064,000
	Other ** 15	353,685	127,667	1,234			4,333			\$486,919
<b>TOTAL INCOME &amp; OTHER SOURCES</b>	<b>16</b>	<b>\$58,824,693</b>	<b>\$10,439,818</b>	<b>\$32,159,301</b>	<b>\$81</b>	<b>\$13,798</b>	<b>\$3,810,259</b>	<b>\$3,000</b>	<b>\$651</b>	<b>\$105,251,601</b>

\* Amount before any student fee transfers

\*\* Specify

Notes on Series 17-1

UA Fort Smith

Column A, Line 15	
TIAA-CREF Match Fortitures	\$12,669.96
CoOp Purchasing Contract Rebates	\$14,687.63
Late Payment Fees	\$81,525.00
Loan Service Charges	\$24,970.00
Loan Late Charges	\$7,395.00
Recovery of Bad Debts	\$3,129.21
Cash Over and Short	(\$178.62)
Other Misc Income	\$76,351.51
State Pcard Rebates	\$19,873.41
State Travel Card Rebates	\$3,888.07
State Local Tax Cap Rebates	\$95,603.16
	<u>\$339,914.33</u>
	\$353,685.07

Column B, Line 15	
Other Misc Income	\$16,296.30
Other Misc Commissions	\$2,095.09
State Local Tax Cap Rebates	<u>\$23,882.26</u>
	\$127,667.22

Column F, Line 14	
2009 Bond Debt Transfer	\$2,929,000.00
2010B Bond Debt Transfer	<u>\$135,000.00</u>
	\$3,064,000.00

Column F, Line 15	
Gas and Oil Lease Proceeds	\$4,284.66
Chaffee Mineral Lease Proceeds	\$11.30
State Local Tax Cap Rebates	<u>\$36.56</u>
	\$4,332.52

Column C, Line 15	
Other Miscellaneous Income	\$1,233.97
State Local Tax Cap Rebates	<u>\$0.00</u>
	\$1,233.97

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION  
ADHE 17-1 SUPPLEMENTAL  
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 1110  
 INSTITUTION UA Fort Smith  
 FISCAL YEAR 2011

COMPLETED BY: Kenda Jones  
 DATE COMPLETED: 8/30/11  
 TELEPHONE NO. 479-788-7077

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Revenue Stabilization Act Funds	1	20,115,961								\$20,115,961
Educ. Excellence Trust Fund	2	2,823,891								\$2,823,891
Workforce 2000	3									\$0
Merit Adjustment Fund	4									\$0
Merit Adjustment Fund Remittance (Negative #)	5									\$0
General Improvement Fund Operating	6									\$0
General Allotment Reserve Fund	7									\$0
Tuition Adjustment	8	240,467								\$240,467
ADHE AR River Ed Ser Coop (GIF)	9						21,500			\$21,500
Boreham Library Const Proj (GIF)	10						215,000			\$215,000
<Other>	11									\$0
<b>TOTAL STATE FUNDING</b>	<b>12</b>	<b>\$23,180,319</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$236,500</b>	<b>\$0</b>	<b>\$0</b>	<b>\$23,416,819</b>

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-2**

**SUMMARY OF EXPENDITURES FOR  
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1110  
 INSTITUTION UA Fort Smith  
 FISCAL YEAR 2011

COMPLETED BY: Debbie Breedlove  
 DATE COMPLETED: 8/30/11  
 TELEPHONE NO. 479-788-7052

Report all amounts to the nearest Dollar.

		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	17190376		1336717						\$18,527,093
Research	2									\$0
Public Service	3	339396		469912						\$809,308
Academic Support	4	6815006		812608						\$7,627,614
Student Services	5	3310068		620022						\$3,930,090
Institutional Support	6	7138896		12705			222480			\$7,374,081
Operation & Main. Of Plant	7	5212148		24328						\$5,236,476
Scholarships & Fellowships	8	3646079	1068680	28036657						\$32,751,416
Staff Benefits	9	8374953	543383	498836						\$9,417,172
Hospitals & Clinics	10									\$0
Auxiliary Enterprises	11		6176597	154020						\$6,330,617
Debt Service	12								5301761	\$5,301,761
Loan Fund Administration	13									\$0
Loan Cancel. & Write-Offs	14									\$0
Exp. For Plant Facilities	15						8176022	181339		\$8,357,361
Other Deductions	16				3					\$3
<b>Total Expenses &amp; Deductions</b>	17	\$52,026,922	\$7,788,660	\$31,965,805	\$3	\$0	\$8,398,502	\$181,339	\$5,301,761	\$105,662,992
Transfers *	Auxiliary	18								\$0
	Mandatory	19	3176001	2305599			21323		-5502923	\$0
	Non Mandatory	20	3258473	2135725			-5194198	-200000		\$0
<b>TOTAL EXP., DEDUC. &amp; TRAN.</b>	21	\$58,461,396	\$12,229,984	\$31,965,805	\$3	\$0	\$3,225,627	(\$18,661)	(\$201,162)	\$105,662,992
<b>TOTAL INCOME</b>	22	\$58,824,693	\$10,439,818	\$32,159,301	\$81	\$13,798	\$3,810,259	\$3,000	\$651	\$105,251,601
<b>NET INC./(DEC.) FOR YEAR</b>	23	\$363,297	(\$1,790,166)	\$193,496	\$78	\$13,798	\$584,632	\$21,661	\$201,813	(\$411,391)

\* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

Notes on Series 17-2

UA Fort Smith

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-3**  
**AUXILIARY ENTERPRISE**  
**CASH INCOME AND EXPENDITURES**

(SEE INSTRUCTIONS)

FICE CODE:	1110	COMPLETED BY:	Debbie Breedlove
INSTITUTION:	UA Fort Smith	DATE COMPLETED:	8/30/11
FISCAL YEAR:	2011	TELEPHONE NO.:	479-788-7052

Report all amounts to the nearest Dollar.

Activity		Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	1	2,736,136	2,935,395		(199,259)
Residence Hall	2	3,566,889	2,598,472	2,305,599	(1,337,182)
Married Student Housing	3				-
Faculty Housing	4				-
Food Service	5	863,817	815,366		48,451
College Union	6		45,405		(45,405)
Bookstore	7	494,513			494,513
Student Organizations And Publications	8	2,731,567	1,270,608		1,460,959
Student Health Services	9				-
Other (Specify On Attached Sheet)	10	46,896	123,414		(76,518)
<b>Sub-Total</b>	<b>11</b>	<b>\$10,439,818</b>	<b>\$7,788,660</b>	<b>\$2,305,599</b>	<b>345,559</b>
Transfers In	Auxiliary (Athletic and Activity)	12			0
	Other	13			0
Transfers Out	14		2,135,725		(2,135,725)
<b>GRAND TOTALS</b>	<b>15</b>	<b>\$10,439,818</b>	<b>\$9,924,385</b>	<b>\$2,305,599</b>	<b>(\$1,790,166)</b>

\* Include athletic fees.

**Notes on Series 17-3**

UA Fort Smith

Column A, Line 10

ATM Fees	13,311.08
Recovery of Bad Debt	198.67
State Local Tax Cap Rebate	23,882.26
Interest Earned	6,230.17
Special Event Ticket Sales	3,273.80
	46,895.98

Column B, Line 10

Bad Debt Expense	117,559.61
Salary	57.72
Fringe Benefits	10.54
Office Supplies	45.89
Other Supplies & Expense	1,728.94
Official Functions	3,573.42
Technical Service Fees	437.50
	123,413.62

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**

**ADHE 17-4**

**BALANCE SHEET SUMMARY AND  
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

**FICE CODE:** 1110  
**INSTITUTION:** UA Fort Smith  
**FISCAL YEAR:** 2011

**COMPLETED BY:** Debbie Breedlove  
**DATE COMPLETED:** 8/31/11  
**TELEPHONE NO.:** 479-788-7052

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
<b>Balance Sheet Summary</b>	Assets	Interfund Borrowing (Due From)	1	539		355							
		All Other Assets	2	6,352,200	2,703,813	2,533,075	79,733	9,711,598	13,599,797	3,063,155	1,773,524	131,043,927	1,476,507
		<b>Total Assets</b>	<b>3</b>	<b>\$6,352,739</b>	<b>\$2,703,813</b>	<b>\$2,533,430</b>	<b>\$79,733</b>	<b>\$9,711,598</b>	<b>\$13,599,797</b>	<b>\$3,063,155</b>	<b>\$1,773,524</b>	<b>\$131,043,927</b>	<b>\$1,476,507</b>
	Liab.	Interfund Borrowing (Due To)	4					894					
		All Other Liabilities	5	2,867,136	97,021	600,997	3,062		8,783,533	124,217	83,009	78,005,000	1,476,507
		<b>Total Liabilities</b>	<b>6</b>	<b>\$2,867,136</b>	<b>\$97,021</b>	<b>\$600,997</b>	<b>\$3,062</b>	<b>\$894</b>	<b>\$8,783,533</b>	<b>\$124,217</b>	<b>\$83,009</b>	<b>\$78,005,000</b>	<b>\$1,476,507</b>
	<b>Fund Balances</b>		<b>7</b>	<b>\$3,485,603</b>	<b>\$2,606,792</b>	<b>\$1,932,433</b>	<b>\$76,671</b>	<b>\$9,710,704</b>	<b>\$4,816,264</b>	<b>\$2,938,938</b>	<b>\$1,690,515</b>	<b>\$53,038,927</b>	
<b>Changes in Fund Balance</b>	Revenue And Other Additions		8	58,824,693	10,439,818	32,159,301	81	13,798	3,810,259	3,000	651	11,265,275	
	Expenditures And Other Deductions		9	52,026,921	7,788,660	31,965,805	3	0	8,398,503	181,339	5,301,761	10,603,419	
	Total Transfer Out Of (Into) *		10	6,434,474	4,441,324	0		0	(5,172,875)	(200,000)	(5,502,923)		
	Net Increase (Decrease) For Year		11	\$363,298	(\$1,790,166)	\$193,496	\$78	\$13,798	\$584,631	\$21,661	\$201,813	\$661,856	
	Fund Balance-Beginning Of Year		12	3,122,305	4,396,958	1,738,937	76,593	9,696,906	4,231,633	2,917,277	1,488,702	52,377,071	
	Fund Balance-End Of Year		13	\$3,485,603	\$2,606,792	\$1,932,433	\$76,671	\$9,710,704	\$4,816,264	\$2,938,938	\$1,690,515	\$53,038,927	

\* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-5**  
**SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,**  
**ISSUED AND RETIRED**

(SEE INSTRUCTIONS)

**FICE CODE:** 1110  
**INSTITUTION:** UA Fort Smith  
**FISCAL YEAR:** 2011

**COMPLETED BY:** Debbie Breedlove  
**DATE COMPLETED:** 8/31/11  
**TELEPHONE NO.:** 479-788-7052

Report All Amounts To The Nearest Dollar

Categories of Indebtedness	Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
<b>Total Outstanding Loan Principal</b>					
<b>Beginning Of Year</b>	1	36,622,181	42,645,000		\$79,267,181
<b>Total Loans Negotiated During</b>					
<b>The Fiscal Year</b>	2	9,300,000			\$9,300,000
<b>Total Debt Principal Retired</b>					
<b>During The Fiscal Year</b>	3	1,452,819	540,000		\$1,992,819
<b>Total Outstanding Principal</b>					
<b>At End Of Fiscal Year</b>	4	\$44,469,362	\$42,105,000	\$0	\$86,574,362
<b>Interest &amp; Agent Fees Paid On</b>					
<b>Debt During Fiscal Year</b>	5	1,531,252	1,777,690		\$3,308,942
<b>Total Debt Service Payments</b>					
<b>During Fiscal Year</b>	6	\$2,984,071	\$2,317,690	\$0	\$5,301,761

Line 6 should match debt service payments shown on 17-2.

\* Specify

Notes on Series 17-5

UA Fort Smith

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS**  
**ACTUAL/BUDGETED EXPENDITURES AND INCOME**

FICE CODE 1110  
 INSTITUTION UA Fort Smith  
 FISCAL YEAR 2011

(SEE INSTRUCTIONS)

COMPLETED BY: Debbie Breedlove  
 DATE COMPLETED: 8/31/11  
 TELEPHONE NO. 479-788-7052

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		13,976,489			\$13,976,489		\$13,976,489	
Dept. Oper. Expense	2	33,536	452,293	169,373	1,852,577	2,507,779	3673029	6,180,808	
Off-Campus Credit	3		31,824		6,263	38,087	7538	45,625	
Non-Credit Instruction	4	1,243	406,494	51,035	209,249	668,021	62737	730,758	
Research	5					0		0	
Public Service	6	614	164,042	41,595	133,144	339,395	56355	395,750	
Libraries	7	208,211	330,316	58,918	375,879	973,324	171032	1,144,356	
Museums & Galleries	8		35,640		1,623	37,263	14970	52,233	
Organized Activities	9	66	46	5,207	17,551	22,870	-1791	21,079	
Other Academic Support	10	1,028,376	3,705,112	119,478	928,582	5,781,548	1368804	7,150,352	
Student Services	11	364,255	1,846,602	207,943	891,268	3,310,068	774186	4,084,254	
Institutional Support	12	1,355,839	3,086,114	129,723	2,567,220	7,138,896	1526177	8,665,073	
Utilities	13				1,738,153	1,738,153		1,738,153	
Other Plant Maintenance	14	1,474,230	495,494	135,777	1,368,495	3,473,996	721916	4,195,912	
Scholarships & Fellowships	15				3,646,079	3,646,079		3,646,079	
Hospital & Clinics	16					0		0	
Other	17					0		0	
Transfers - Auxiliary	18								
Transfers - Mandatory	19							3,176,001	
Transfers -Non Mandatory	20							3,258,473	
<b>TOTAL</b>	<b>21</b>	<b>\$4,466,370</b>	<b>\$24,530,466</b>	<b>\$919,049</b>	<b>\$13,736,083</b>	<b>\$0</b>	<b>\$43,651,968</b>	<b>\$8,374,953</b>	<b>\$58,461,395</b>
Tuition and Fees	22							\$28,927,962	
Other Income	23							\$6,716,412	
Prior Year Balance	24								
General Revenue Appropriated	25							\$23,180,319	
<b>Total Unrestricted E&amp;G Revenue</b>	<b>26</b>							<b>\$58,824,693</b>	

Form Revised 8/1/97

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS**  
**ACTUAL/BUDGETED EXPENDITURES**

FICE CODE 1110  
 INSTITUTION UA Fort Smith  
 FISCAL YEAR 2011

(SEE INSTRUCTIONS)

COMPLETED BY: Debbie Breedlove  
 DATE COMPLETED: 8/31/11  
 TELEPHONE NO. 479-788-7052

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Staff Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		587853			\$587,853		\$587,853	
Dept. Oper. Expense	2			26265	280598	101280	408,143	156599	564,742
Off-Campus Credit	3		124898		15789	140,687	31753	172,440	
Non-Credit Instruction	4	20320	73649		106065	200,034	25796	225,830	
Research	5					0		0	
Public Service	6	37917	241476	1750	186065	2704	469,912	69098	539,010
Libraries	7			83223	13592	545	97,360		97,360
Museums & Galleries	8				2024	2,024		2,024	
Organized Activities	9			16644	43040	59,684	1877	61,561	
Other Academic Support	10	28660	303493	71955	245767	3665	653,540	89293	742,833
Student Services	11	976	297989	48079	267069	5909	620,022	102836	722,858
Institutional Support	12				12705	12,705	15400	28,105	
Utilities	13					0		0	
Other Plant Maintenance	14	968	17333	2519	269	3239	24,328	6184	30,512
Scholarships & Fellowships	15				28036657	28,036,657		28,036,657	
Hospital & Clinics	16					0		0	
Other (Restricted Auxiliary)	17				82361	71659	154,020	154,020	
Transfers - Auxiliary	18								
Transfers - Mandatory	19								
Transfers -Non Mandatory	20								
<b>TOTAL</b>	<b>21</b>	<b>\$88,841</b>	<b>\$1,646,691</b>	<b>\$250,435</b>	<b>\$29,292,001</b>	<b>\$189,001</b>	<b>\$31,466,969</b>	<b>\$498,836</b>	<b>\$31,965,805</b>

Form Revised 8/1/97



**ARKANSAS DEPARTMENT OF HIGHER EDUCATION**  
**ADHE 17-8**  
**EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF**  
**OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE**

(SEE INSTRUCTIONS)

**FICE CODE:** 1110  
**INSTITUTION:** UA Fort Smith  
**FISCAL YEAR:** 2011

**COMPLETED BY:** Debbie Breedlove & Cheryl Quinley  
**DATE COMPLETED:** 9/1/11  
**TELEPHONE NO.:** 479-788-7052

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year  (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets  (D)
			Unrestricted (B)	Restricted (C)	
<b>Educational and General</b>	1	11758102	405527	176831	3814854
<b>Auxiliary Enterprises</b>	2	755858	236241	8386	3792558
<b>Hospital &amp; Clinics</b>	3				
<b>Totals</b>	4	\$12,513,960	\$641,768	\$185,217	\$7,607,412