

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1
SUMMARY OF INCOME FOR
ALL FUND GROUPS
(SEE INSTRUCTIONS)

FICE CODE 1089OZ
INSTITUTION ATU_OZ
FISCAL YEAR 2016-17

COMPLETED BY: Cheffer/Rankin
DATE COMPLETED: 09/13/17
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

INCOME (and other additions)		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Tuition & Fees *	1	4,359,712	21,000	0	0	0	0	0	0	\$4,380,712
Government	Federal	2	0	0	0	0	0	0	0	\$0
Appropriations	State	3	3,787,197	0	0	0	0	0	0	\$3,787,197
	Local	4	0	0	0	0	0	0	0	\$0
Grants &	Federal	5	0	2,942	436,909	0	0	0	0	\$439,850
Contracts	State	6	0	0	1,047,321	0	0	0	0	\$1,047,321
	Local	7	0	0	0	0	0	0	0	\$0
Private Gifts, Grants and Contracts	8	0	0	225,620	0	0	0	0	0	\$225,620
Endowment Income	9	0	0	9,400	0	0	0	0	0	\$9,400
Sales &	Educational Activities	10	885,061	667,988	0	0	0	0	0	\$1,553,048
Services	Auxiliary Enterprises	11	0	0	0	0	0	0	0	\$0
	Hospitals	12	0	0	0	0	0	0	0	\$0
Other	Invest & Gains	13	841	0	0	0	25	0	3,734	\$4,600
Sources	Other **	14	82,747	0	0	0	0	0	0	\$82,747
	Other **	15	212,544	0	0	0	0	0	1,415,591	\$1,628,135
TOTAL INCOME & OTHER SOURCES	16	\$9,328,101	\$691,929	\$1,719,249	\$0	\$0	\$25	\$0	\$1,419,325	\$13,158,629

* Amount before any student fee transfers

** Specify

Notes on Series 17-1

ATU_OZ

Other

Other Sources Miscellaneous Income	83,588
Less Investment and Interest Income	(841)
Total	82,747

Other

Transfer from Russellville Campus	212,544	0
Endowment	0	0
Fund Addition	0	<u>1,415,591</u>
Total	212,544	1,415,591

**ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-1 SUPPLEMENTAL
DETAIL OF SERIES 17-1, LINE 3: STATE APPROPRIATIONS**

(SEE INSTRUCTIONS)

FICE CODE 1089OZ
 INSTITUTION ATU_OZ
 FISCAL YEAR 2016-17

COMPLETED BY: Cheffer/Rankin
 DATE COMPLETED: 09/13/17
 TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

STATE APPROPRIATIONS		UNRESTRICTED		RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational	Auxiliary	Educational			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
		Current Funds (A)	Current Funds (B)	Current Funds (C)						
Revenue Stabilization Act Funds	1	2,394,591	0	0	0	0	0	0	0	\$2,394,591
Educ. Excellence Trust Fund	2	0	0	0	0	0	0	0	0	\$0
Workforce 2000	3	794,490	0	0	0	0	0	0	0	\$794,490
Merit Adjustment Fund	4	0	0	0	0	0	0	0	0	\$0
Merit Adjustment Fund Remittance (Negative #)	5	0	0	0	0	0	0	0	0	\$0
General Improvement Fund Operating	6	0	0	0	0	0	0	0	0	\$0
General Allotment Reserve Fund	7	0	0	0	0	0	0	0	0	\$0
Designated State Aid ATCC	8	598,116	0	0	0	0	0	0	0	\$598,116
<Other>	9	0	0	0	0	0	0	0	0	\$0
<Other>	10	0	0	0	0	0	0	0	0	\$0
<Other>	11	0	0	0	0	0	0	0	0	\$0
TOTAL STATE FUNDING	12	\$3,787,197	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,787,197

Total should match Line 3 of Series 17-1.

Merit Remittance should be shown as a negative number.

Show any other sources by typing over <Other> with appropriate description of source of funds.

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-2

**SUMMARY OF EXPENDITURES FOR
ALL FUND GROUPS**

(SEE INSTRUCTIONS)

FICE CODE 1089OZ
INSTITUTION ATU_OZ
FISCAL YEAR 2016-17

COMPLETED BY: Cheffer/Rankin
DATE COMPLETED: 09/13/17
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

		UNRESTRICTED			RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS			Total (I)
		Educational Current Funds (A)	Auxiliary Current Funds (B)	Pension Obligation GASB 68 (AB)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replacement (G)	Retirement of Indebtedness (H)	
Instruction	1	4,270,423	0		1,432,861						\$5,703,284
Research	2	0	0		0						\$0
Public Service	3	0	0		0						\$0
Academic Support	4	271,085	0		2,181						\$273,267
Student Services	5	343,867	0		4,258						\$348,125
Institutional Support	6	1,444,011	0		0						\$1,444,011
Operation & Main. Of Plant	7	697,612	0		0						\$697,612
Scholarships & Fellowships	8	66,648	0		121,939						\$188,587
Staff Benefits	9	1,235,940	0	110,411	158,009						\$1,504,360
Hospitals & Clinics	10	0	0		0						\$0
Auxiliary Enterprises	11		513,594		0						\$513,594
Debt Service	12	0	0		0					1,572,639	\$1,572,639
Loan Fund Administration	13	0	0		0						\$0
Loan Cancel. & Write-Offs	14	0	0		0						\$0
Exp. For Plant Facilities	15	0	0		0			266,598			\$266,598
Other Deductions	16	378	155,855	318,481	0					1,416,191	\$1,890,905
Total Expenses & Deductions	17	\$8,329,965	\$669,448	\$428,892	\$1,719,249	\$0	\$0	\$266,598	\$0	\$2,988,830	\$14,402,982
Transfers *	Auxiliary	18	0	0	0						\$0
	Mandatory	19	498,603	1,074,036	0					(1,572,639)	(\$0)
	Non Mandatory	20	610,858	(225,018)	0			(420,842)		35,001	(\$0)
TOTAL EXP., DEDUC. & TRAN.	21	\$9,439,426	\$1,518,467	\$428,892	\$1,719,249	\$0	\$0	(\$154,244)	\$0	\$1,451,192	\$14,402,981
TOTAL INCOME	22	\$9,328,101	\$691,929	\$0	\$1,719,249	\$0	\$0	\$25	\$0	\$1,419,325	\$13,158,629
NET INC./(DEC.) FOR YEAR	23	(\$111,325)	(\$826,538)	(\$428,892)	(\$0)	\$0	\$0	\$154,269	\$0	(\$31,867)	(\$1,244,352)

* Transfers out should be entered as positive numbers; transfers in should be entered as negative numbers.

Column I on lines 18, 19 and 20 should equal 0.

Notes on Series 17-2

ATU_OZ

Other										
Prior Period Adjustment GASB 68	0	(586)	318,481							0
Write-off Bookstore Inventory	0	144,843	0							0
Discontinuation of Bookstore Operations	0	0	0							0
GASB 68	0	12,197	0							0
Construction in Progress	0	0	0							0
Fund Addition	378	(600)	0							1,416,191
Total	378	155,855	318,481							1,416,191

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-2 SUPPLEMENTAL
DETAILED PORTION OF SERIES 17-2, LINE 19: MANDATORY TRANSFERS FOR MAINTENANCE

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: ATU_OZ
FISCAL YEAR: 2016-17

COMPLETED BY: Cheffer/Rankin
DATE COMPLETED: 09/13/17
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

Constructed/Purchased Facility		Date of Completion	Date of Occupancy	Square Footage	Amount per Square Foot	Amount of Transfer for Maintenance
Name of Facility	1					\$0
Ozark Allied Health Building Principal and Interest	2	3/31/16	3/31/16	20,273	2.50	\$50,683
	3					\$0
	4					\$0
	5					\$0
	6					\$0
	7					\$0
	8					\$0
	9					\$0
	10					\$0
	11					\$0
	12					\$0
	13					\$0
	14					\$0
	15					\$0
TOTAL MANDATORY TRANSFERS FOR MAINTENANCE	16					\$50,683

*Buildings approved from October 2008 prior to October 2010 will report funds transferred based upon their plan identified in their bond or loan feasibility request

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-3
AUXILIARY ENTERPRISE
CASH INCOME AND EXPENDITURES

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: ATU_OZ
FISCAL YEAR: 2016-17

COMPLETED BY: Cheffer/Rankin
DATE COMPLETED: 09/13/17
TELEPHONE NO. 479-964-0821

Report all amounts to the nearest Dollar.

Activity	Income (A)	Operating Expense (B)	Debt Service (C)	Net Income (D)
Intercollegiate Athletics *	0	0	0	0
Residence Hall	0	0	0	0
Married Student Housing	0	0	0	0
Faculty Housing	0	0	0	0
Food Service	13,527	9,498	0	4,030
College Union	0	0	0	0
Bookstore	657,402	494,707	1,074,036	(911,342)
Student Organizations And Publications	21,000	21,000	0	0
Student Health Services	0	0	0	0
Other (Specify On Attached Sheet)	0	0	0	0
Sub-Total	\$691,929	\$525,205	\$1,074,036	(907,312)
Transfers In				
Auxiliary (Athletic and Activity)	-			0
Other	225,018			225,018
Transfers Out		144,244	-	(144,244)
GRAND TOTALS	\$916,947	\$669,448	\$1,074,036	(\$826,538)

* Include athletic fees.

Notes on Series 17-3

ATU_OZ

Other

Transfer Into Bookstore for Retirement of Bond

225,018

ARKANSAS DEPARTMENT OF HIGHER EDUCATION

ADHE 17-4

**BALANCE SHEET SUMMARY AND
CHANGES IN FUND BALANCE**

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: ATU_OZ
FISCAL YEAR: 2016-17

COMPLETED BY: Cheffer/Rankin
DATE COMPLETED: 09/13/17
TELEPHONE NO.: 479-964-0821

Report all amounts to the nearest Dollar.

Categories			UNRESTRICTED			RESTRICTED	Loan Fund (D)	Endowment Funds (E)	PLANT FUNDS				Agency Funds (J)	
			Educational Current Funds (A)	Auxiliary Current Funds (B)	Pension Obligation GASB 68 (AB)	Educational Current Funds (C)			Unexpended (F)	Renewal & Replace (G)	Retirement of Indebtedness (H)	Investment In Plant (I)		
Balance Sheet Summary	Assets and Deferred Outflows	Interfund Borrowing (Due From)	1											
		All Other Assets and Deferred Outflows	2	5,463,486	244,612	1,034,001				387,817		191,123	11,027,636	63,468
		Total Assets and Deferred Outflows	3	\$5,463,486	\$244,612	\$1,034,001	\$0	\$0	\$11,815	\$387,817	\$0	\$191,123	\$11,027,636	\$63,468
	Liabilities and Deferred Inflows	Interfund Borrowing (Due To)	4											
		All Other Liabilities and Deferred Inflows	5	201,636	4,293	3,014,728				60,923			8,015,521	63,468
		Total Liabilities and Deferred Inflows	6	\$201,636	\$4,293	\$3,014,728	\$0	\$0	\$0	\$60,923	\$0	\$0	\$8,015,521	\$63,468
	Fund Balances		7	\$5,261,850	\$240,319	(\$1,980,727)	\$0	\$0	\$11,815	\$326,894	\$0	\$191,123	\$3,012,115	
Changes in Fund Balance	Revenue And Other Additions		8	9,328,101	691,929		1,719,249		25		1,419,325	2,082,599		
	Expenditures And Other Deductions		9	8,329,965	669,448	428,892	1,719,249		266,598		2,988,830	691,716		
	Total Transfer Out Of (Into) *		10	1,109,461	849,018		0		(420,842)		(1,537,638)	72,599		
	Net Increase (Decrease) For Year		11	(\$111,325)	(\$826,537)	(\$428,892)	\$0	\$0	\$0	\$154,269	\$0	(\$31,867)	\$1,318,284	
	Fund Balance-Beginning Of Year		12	5,373,175	1,066,856	(1,551,835)	0		11,815	172,625		222,990	1,693,831	
	Fund Balance-End Of Year		13	\$5,261,850	\$240,319	(\$1,980,727)	\$0	\$0	\$11,815	\$326,894	\$0	\$191,123	\$3,012,115	

* The total transfer out of amount (Line 10) should be entered as a positive number. A total transfer into amount (line 10) should be entered as a negative number. Transfers must be shown in both the fund transferred from and transferred to. Line 7 and 13 must match.

Notes on Series 17-4

ATU_OZ

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-5
SUMMARY OF PHYSICAL PLANT DEBT OUTSTANDING,
ISSUED AND RETIRED

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: ATU_OZ
FISCAL YEAR: 2016-17

COMPLETED BY: Cheffer/Rankin
DATE COMPLETED: 09/13/17
TELEPHONE NO.: 479-964-0821

Report All Amounts To The Nearest Dollar

Categories of Indebtedness		Education And General (A)	Auxiliary (B)	Hospitals And Clinics (C)	All Others (D)*	Total (E)
Total Outstanding Loan Principal						
Beginning Of Year	1	8,218,200	1,030,000	0	0	\$9,248,200
Total Loans Negotiated During						
The Fiscal Year	2	0	0	0	0	\$0
Total Debt Principal Retired						
During The Fiscal Year	3	208,800	1,030,000	0	0	\$1,238,800
Total Outstanding Principal						
At End Of Fiscal Year	4	\$8,009,400	\$0	\$0	\$0	\$8,009,400
Interest & Agent Fees Paid On						
Debt During Fiscal Year	5	289,803	44,036	0	0	\$333,839
Total Debt Service Payments						
During Fiscal Year	6	\$498,603	\$1,074,036	\$0	\$0	\$1,572,639

Line 6 should match debt service payments shown on 17-2.

* Specify

Notes on Series 17-5

ATU_OZ

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7A UNRESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES AND INCOME

FICE CODE 1089OZ
 INSTITUTION ATU_OZ
 FISCAL YEAR 2016-17

(SEE INSTRUCTIONS)

COMPLETED BY: Cheffer/Rankin
 DATE COMPLETED: 09/13/17
 TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED: ACTUAL

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		2,870,658			\$2,870,658		\$2,870,658	
Dept. Oper. Expense	2	22,933	51,523	11,707	1,119,598	23,222	955,575	2,184,558	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	0	67,814	0	102,968	170,782	20,729	191,511	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	40,249	2,891	6,121	4,708	15,222	69,190	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	16,995	29,841	0	170,281	0	17,594	234,712	
Student Services	11	83,071	183,078	576	77,143	0	104,907	448,774	
Institutional Support	12	252,338	791,873	7,155	375,666	16,978	334,936	1,778,946	
Utilities	13				230,371	0		230,371	
Other Plant Maintenance	14	263,095	0	14,868	189,278	0	117,069	584,310	
Scholarships & Fellowships	15				66,648			66,648	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	378	0	(330,092)	(329,714)	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							498,603	
Transfers -Non Mandatory	20							610,858	
TOTAL	21	\$638,432	\$4,035,036	\$37,196	\$2,338,451	\$44,907	\$7,094,023	\$1,235,940	\$9,439,424
Tuition and Fees	22							4,359,712	
Other Income	23							1,181,193	
Prior Year Balance	24							0	
General Revenue Appropriated	25							3,787,197	
Total Unrestricted E&G Revenue	26							\$9,328,102	

Form Revised 8/1/97

Notes on Series 17-7A

ATU_OZ

Other								
GASB 68 adjustments				378			-	378
GASB 68 adjustments				0			(330,092)	(330,092)
						Total		(329,714)

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-7B RESTRICTED CURRENT EDUCATIONAL AND GENERAL FUNDS
ACTUAL/BUDGETED EXPENDITURES

FICE CODE 1089OZ
 INSTITUTION ATU_OZ
 FISCAL YEAR 2016-17

(SEE INSTRUCTIONS)

COMPLETED BY: Cheffer/Rankin
 DATE COMPLETED: 09/13/17
 TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

ACTUAL OR BUDGETED:

Expenditure Category		Salaries			Non-Capital Maint. & Operating (D)	Capital Outlay (E)	Sub-Total (F)	Benefits (G)	Total (H)
		Classified (A)	Unclassified (B)	Extra Help (C)					
Teaching Salaries	1		375,788			\$375,788		\$375,788	
Dept. Oper. Expense	2	45,499	0	70,047	306,525	471,993	152,435	1,046,499	
Off-Campus Credit	3	0	0	0	0	0	0	0	
Non-Credit Instruction	4	7,656	13,727	0	141,627	163,010	5,574	168,584	
Research	5	0	0	0	0	0	0	0	
Public Service	6	0	0	0	0	0	0	0	
Libraries	7	0	0	2,181	0	2,181	0	2,181	
Museums & Galleries	8	0	0	0	0	0	0	0	
Organized Activities	9	0	0	0	0	0	0	0	
Other Academic Support	10	0	0	0	0	0	0	0	
Student Services	11	0	0	4,258	0	4,258	0	4,258	
Institutional Support	12	0	0	0	0	0	0	0	
Utilities	13				0	0		0	
Other Plant Maintenance	14	0	0	0	0	0	0	0	
Scholarships & Fellowships	15				121,939	121,939		121,939	
Hospital & Clinics	16	0	0	0	0	0	0	0	
Other	17	0	0	0	0	0	0	0	
Transfers - Auxiliary	18							0	
Transfers - Mandatory	19							0	
Transfers -Non Mandatory	20							0	
TOTAL	21	\$53,155	\$389,515	\$76,487	\$570,091	\$471,993	\$1,561,240	\$158,009	\$1,719,249

Form Revised 8/1/97

ARKANSAS DEPARTMENT OF HIGHER EDUCATION
ADHE 17-8
EQUIPMENT INVENTORY, PURCHASES AND OUTRIGHT PURCHASE OF
OTHER CAPITAL ASSETS FROM CURRENT FUNDS BY SOURCE

(SEE INSTRUCTIONS)

FICE CODE: 1089OZ
INSTITUTION: ATU_OZ
FISCAL YEAR: 2016-17

COMPLETED BY: Cheffer/Rankin
DATE COMPLETED: 09/13/17
TELEPHONE NO. 479-964-0821

Report All Amounts To The Nearest Dollar.

Source Of Funds		Equipment Inventory At End Of Fiscal Year (A)	Purchase Of Equipment During Fiscal Year		Outright Purchase Of Other Capital Assets (D)
			Unrestricted (B)	Restricted (C)	
Educational and General	1	1,911,169	118,597	471,993	0
Auxiliary Enterprises	2	42,407	0	0	0
Hospital & Clinics	3	0	0	0	0
Totals	4	\$1,953,576	\$118,597	\$471,993	\$0

Notes on Series 17-8

ATU_OZ